



**Sustainable Transport:
A Sourcebook for Policy-makers in Developing Cities
Module 5a**

Air Quality Management

– revised April 2004 –



Deutsche Gesellschaft für
Technische Zusammenarbeit (GTZ) GmbH

Overview of the sourcebook

Sustainable Transport: A Sourcebook for Policy-Makers in Developing Cities

What is the Sourcebook?

This *Sourcebook* on Sustainable Urban Transport addresses the key areas of a sustainable transport policy framework for a developing city. The *Sourcebook* consists of 20 modules.

Who is it for?

The *Sourcebook* is intended for policy-makers in developing cities, and their advisors. This target audience is reflected in the content, which provides policy tools appropriate for application in a range of developing cities.

How is it supposed to be used?

The *Sourcebook* can be used in a number of ways. It should be kept in one location, and the different modules provided to officials involved in urban transport. The *Sourcebook* can be easily adapted to fit a formal short course training event, or can serve as a guide for developing a curriculum or other training program in the area of urban transport. GTZ is meanwhile elaborating training packages for selected modules, being available from June 2004.

What are some of the key features?

The key features of the *Sourcebook* include:

- A practical orientation, focusing on best practices in planning and regulation and, where possible, successful experience in developing cities.
- Contributors are leading experts in their fields.
- An attractive and easy-to-read, color layout.
- Non-technical language (to the extent possible), with technical terms explained.
- Updates via the Internet.

How do I get a copy?

Please visit <http://www.sutp-asia.org> or <http://www.gtz.de/transport> for details on how to order a copy. The *Sourcebook* is not sold for profit. Any charges imposed are only to cover the cost of printing and distribution. You may also order via transport@gtz.de.

Comments or feedback?

We would welcome any of your comments or suggestions, on any aspect of the *Sourcebook*, by e-mail to transport@gtz.de, or by surface mail to: Manfred Breithaupt
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Sourcebook Overview and Cross-cutting Issues of Urban Transport (GTZ)

Institutional and policy orientation

- 1a. *The Role of Transport in Urban Development Policy* (Enrique Peñalosa)
- 1b. *Urban Transport Institutions* (Richard Meakin)
- 1c. *Private Sector Participation in Transport Infrastructure Provision* (Christopher Zegras, MIT)
- 1d. *Economic Instruments* (Manfred Breithaupt, GTZ)
- 1e. *Raising Public Awareness about Sustainable Urban Transport* (Karl Fjellstrom, GTZ)

Land use planning and demand management

- 2a. *Land Use Planning and Urban Transport* (Rudolf Petersen, Wuppertal Institute)
- 2b. *Mobility Management* (Todd Litman, VTPI)

Transit, walking and cycling

- 3a. *Mass Transit Options* (Lloyd Wright, University College London; Karl Fjellstrom, GTZ)
- 3b. *Bus Rapid Transit* (Lloyd Wright, University College London)
- 3c. *Bus Regulation & Planning* (Richard Meakin)
- 3d. *Preserving and Expanding the Role of Non-motorised Transport* (Walter Hook, ITDP)

Vehicles and fuels

- 4a. *Cleaner Fuels and Vehicle Technologies* (Michael Walsh; Reinhard Kolke, Umweltbundesamt – UBA)
- 4b. *Inspection & Maintenance and Roadworthiness* (Reinhard Kolke, UBA)
- 4c. *Two- and Three-Wheelers* (Jitendra Shah, World Bank; N.V. Iyer, Bajaj Auto)
- 4d. *Natural Gas Vehicles* (MVV InnoTec)

Environmental and health impacts

- 5a. *Air Quality Management* (Dietrich Schwela, World Health Organisation)
- 5b. *Urban Road Safety* (Jacqueline Lacroix, DVR; David Silcock, GRSP)
- 5c. *Noise and its Abatement* (Civic Exchange Hong Kong; GTZ; UBA)

Resources

6. *Resources for Policy-makers* (GTZ)

Further modules and resources

Further modules are anticipated in the areas of *Driver Training*; *Financing Urban Transport*; *Benchmarking*; and *Car Free Days*. Additional resources are being developed, and an Urban Transport Photo CD-ROM is available.

Air Quality Management

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1. Introduction

1.1 Objectives of the Module

This module serves to assist policy-makers and their advisers in developing countries to determine the best measures to abate air pollution with limited information. The material presented draws upon knowledge gained from countries worldwide and provides practical advice to developing countries on how to develop legally enforceable air quality standards and simplified clean air implementation plans.

The module provides advice on which legal aspects need to be considered, how adverse effects in the population at risk can be defined, how exposure-response relationships can be applied, and how acceptable levels of risks can be assessed. It also describes where advice on the health effects of air pollution under different geographical, social, economic and cultural conditions can be found and how the capability for implementing air quality standards may be strengthened. The module discusses the factors which need to be considered in urban air quality management and provides guidance on urban air quality management provided by national, supranational and international agencies, programs and projects.

1.2 Overview

There are four major issues in urban areas related to ambient air pollution that may affect the health of people:

- air pollution by chemical contaminants and biological agents
- environmental noise pollution
- radiation
- electromagnetic fields.

Air pollution by chemical contaminants occurs both in the outdoor and indoor environment, with a dominance of exposure in the indoor environment where people spend most of their time. Environmental noise pollution, radiation, and electromagnetic fields also play a role in the outdoor and indoor environment. Air pollution by biological agents plays a role mostly in the indoor environment. In this module we concentrate on outdoor air pollution by chemical compounds. Noise pollution is considered in Module 5c: *Noise and its Abatement*.

The aim of local urban air quality management is to protect public health and the environment from the damaging effects of air pollution, and to eliminate or reduce to a minimum human exposure to hazardous pollutants. In developed countries air quality management has used sophisticated instruments to determine the necessary measures to control polluting sources. This has taken form in clean air implementation plans, based on an evaluation of the most efficient method to reduce air pollution. In contrast, an assessment of the air pollutant reduction measures in developing countries is typically based on more limited information concerning local sources, the dispersion of air pollution, actual air pollutant levels and related adverse effects. In particular, the lack of emissions inventories and air quality standards makes assessment difficult.

2. Basic facts

2.1 Importance of air pollution management

The importance of air pollution management follows from the following observations. Approximately 1,200 million people globally are exposed to levels of sulphur dioxide (SO₂) high above World Health Organisation (WHO) guidelines and approximately 1,400 million people are exposed to excessive levels of smoke and particulate matter (PM). Fifteen to twenty percent of Europeans and North Americans are exposed to excessive levels of nitrogen dioxide (NO₂), and excessive levels of carbon monoxide (CO) persist in half of the World's cities. The situation and the stressing factors in the developing regions of Latin America, Asia and Africa are sketched in Boxes 1, 2 and 3.

Recent estimates of the increase in daily mortality show that on a global scale 4 – 8% of premature deaths each year are due to exposure to PM in the ambient and indoor environment, with potentially 500,000 excess deaths annually due to PM outdoor concentrations, and about 2.5 million excess deaths annually due to PM indoor concentrations (Schwela 1996; WHO 1997; WHO 2002a). Moreover, approximately 20 – 30% of all respiratory diseases appear to be caused by ambient and indoor air pollution, again with an emphasis on the latter.

Although enormous progress has been made in air quality management and clean air implementation plans for urban areas, especially in developed countries, a substantial number of people living in urban areas – approximately 1.5 billion, or 25% of the global population – are still exposed to enhanced concentrations of gaseous and particulate pollutants in the air they breathe. In addition, the use of open fires for indoor cooking and heating currently exposes approximately 2 billion people to substantial concentrations of suspended particulate matter, 10 – 20 times higher than outdoor concentrations. Sources of outdoor air pollution include industrial, commercial and vehicular emissions, as well as vegetation fires. Furthermore, population growth in low-income countries is placing stress on already inadequate infrastructures and technical and financial

Box 1:

Air pollution in Latin America

Outdoor air pollution in urban areas of Latin American cities is perceived a serious problem. Elevated concentrations in megacities such as Sao Paulo or Mexico City sometime lead to the closure of industries, restricted use of motor vehicles, and transfer of industrial sources to more remote areas. The emissions of particulate matter, sulphur dioxide, nitrogen dioxide, and ammonia, and the corresponding outdoor concentrations of these compounds and, correspondingly, the levels of ozone have progressively increased in recent decades. In Mexico City, ozone levels are above the Mexican ozone standard of 360 micrograms per cubic metre for most days of the year.

The projections of the increases of the populations, the growth of industrialisation and private traffic appear to indicate a tendency of increase of 1990 concentrations for sulphur dioxide and particulate matter levels by 100 – 200% for Central America, the north of South America, the north of Chile and Argentina. For the south and east of Brazil, the increase could amount to about 300 – 400% of the 1990 values. These projections relate to increases in the number of vehicles and industrial combustion plants. If the development proceeds according to these estimates, it is projected for 2050 that the air pollution situation will be similar to or even worse than that of the United States and Europe in the sixties of last century.

Source: Adapted from SEI/Sida 2002a



Air pollution in mega-cities: Sao Paulo (above), Kuala Lumpur (right), Cairo (far right).

Karl Fjellstrom, 2002

Box 2: Air pollution in Asia

In Asia, rapid urbanisation, with the associated growth in industry and transportation systems, has increased regional concerns with regard to emissions of particulate matter, sulphur dioxide and nitrogen oxides. In some countries lack of urban planning controls has enabled industrial sources of air pollution to be built and often in close proximity to densely populated residential areas. Lack of monitoring equipment, assessment techniques and criteria, as well as legal frameworks for enforcement, mean that pollution can reach critical levels in cities in some developing countries. Emissions from stationary sources of air pollution are supplemented by emissions from mobile sources which exacerbate the problem (e.g. mopeds, motorcycles, motorised rickshaws (tuk-tuks), cars, buses and lorries).

According to one recent estimate, at the current growth rate of energy consumption, by the year 2000 sulphur dioxide emissions will surpass the emissions of North America and Europe combined. The primary man-made source of particulate matter, sulphur and nitrogen in the Asia-Pacific region is fossil fuel combustion in the energy, industry and transportation sectors. The use of low quality fuel, inefficient methods of energy production and use, the poor condition of vehicles and traffic congestion are the major causes of increasing emissions of these gases. Emitted compounds have the capacity to be transported over large distances, sometimes hundreds of kilometres, and may give rise to depositions in another country. The potential for such transboundary air pollution was evident in the recent Indonesian forest fires. The area affected by the air pollutants from the fire spread for more than 3,200 km, east to west, covering six Asian countries and affecting around 70 million people. In the Malaysian State of Sarawak, the levels of fine particulate matter hit record levels of more than 900 micrograms per cubic metre (more than 12 times above the 1987 WHO guideline for respirable particulate matter). There is a need for regional intergovernmental co-operation.

Adapted from SEI/Sida 2002b



Box 3: Air Pollution in Africa

In Africa urbanisation and industrialisation have increased regional concerns with regard to emissions of sulphur and nitrogen oxides. According to projections, if African countries continue to develop along a 'conventional development pathway' at predicted rates, by the mid-21st century their emissions of sulphur will exceed projected levels in Europe and the USA. A major and growing source of sulphur and nitrogen pollution across Africa is the combustion of fossil fuels in the power generation and smelting industries. In South Africa, one of the most industrialized countries in Africa, impacts of acid rain have already been reported on forests, crops and surface waters. Air pollution in urban centres in Southern Africa has been linked to human health impacts. Household and industrial energy consumption across the continent is predicted to increase by over 300% during the next fifty years with consequent significant growth in sulphur and nitrogen emissions. Emitted pollutant gases have the capacity to be transported over large distances, sometimes many hundreds of kilometres, and may give rise to depositions in other countries.

Source: SEI/Sida 2002c



Box 4: Air pollution fatalities now exceed traffic fatalities by 3 to 1

Excerpt from Earth Policy Institute, *Eco-Economy Update*, <http://www.earth-policy.org/Updates/Update17.htm>, CAI-Asia list, 17 Sept. 2002.

The World Health Organization reports that 3 million* people now die each year from the effects of air pollution. This is three times the 1 million who die each year in automobile accidents. A study published in *The Lancet* in 2000 concluded that air pollution in France, Austria, and Switzerland is responsible for more than 40,000 deaths annually in those three countries. About half of these deaths can be traced to air pollution from vehicle emissions.

Governments go to great lengths to reduce traffic accidents by fining those who drive at dangerous speeds, arresting those who drive under the influence of alcohol, and even sometimes revoking drivers' licenses. But they pay much less attention to the deaths people cause by simply driving the cars. While deaths from heart disease and respiratory illness from breathing polluted air may lack the drama of deaths from an automobile crash, with flashing lights and sirens, they are no less real.

* [The World Bank mentions a figure of 500,000 annual premature deaths in urban areas, due to air pollution]

capacities. In parallel, the process of urbanisation will continue, such that the proportion of the global population living in cities will increase from approximately 45% to around 62% by the year 2025, creating dense centres of anthropogenic emissions.

This is reflected in the increase in the number of megacities, mostly in developing countries:

- 1990: 68 cities with more than 3 million people
- 2000: 66 cities with more than 4 million people
- 2025: 135 cities with more than 4 million people.

Human health studies show that air pollution in developing countries accounts for tens of thousands of excess deaths, millions of limited activity days and billions of dollars in medical costs (see Box 4). These losses, and the associated degradation in quality of life, impose a significant burden on all sectors of society, but especially the poor. World Bank studies in Jakarta, for example, estimated dose response relationships to health outcomes (Box 5).

Box 5: Potential health benefits of reducing particulate matter in Jakarta, Indonesia

One recent study illustrated the potential impact on human health of air pollution reduction, through the use of exposure benefit relationships. (An exposure benefit relationship is the quantitative relationship between the amount of exposure to a substance and the extent of toxic injury or illness produced.) Data from exposure-response relationships, observed in developed countries were applied to local conditions to assess the annual benefits of reducing airborne pollution to meet both Indonesian standards and WHO guidelines.

Health benefits of reducing particulates in Jakarta to Indonesian standards

Presenting the impacts of air pollution in physical terms (number of people with illnesses or dying) can be a powerful way to prompt government action, as well as providing a basis for cost-benefit calculations for different policy measures.

The estimated numbers of lives saved and illnesses avoided in a population of 8.2 million that could be achieved if Jakarta complied with Indonesian particulates standards was as follows:

Health endpoint	Estimate:
Premature mortality	1,200
Hospital admissions	2,000
Emergency room visits	40,600
Restricted activity days	6,330,000
Lower respiratory illness	104,000
Asthma attacks	464,000
Respiratory symptoms	31,000,000
Chronic bronchitis	9,600

Such numbers are a powerful means to prompt government action, as well as providing a basis for cost benefit estimates for chosen policies.

Jakarta figures based on Ostro 1994

2.2 Major types of air pollutants

Major types of air pollutants include particulate matter, lead, carbon monoxide, nitrogen oxides, volatile organic compounds and hydrocarbons, and photochemical oxidants such as ozone.

Table 1 exhibits selected pollutants and their major sources and effects, while Table 2 shows pollutants typically associated with some typical engine and fuel combinations.

In developing cities, however, the most critical air pollutants are particulate matter and lead if not yet phased out of petrol. Krzyzanowski and Schwela (1999) analysed the air pollution situation of cities in developing countries. Their observations are based on the data collected in the database of the recently updated Air Management Information System (AMIS) (Schwela 1999; WHO 2001).

With respect to PM, the most commonly reported indicator is the mass of total suspended particles (TSP). In many cities the TSP annual mean concentration exceeds $100 \mu\text{g}/\text{m}^3$, with the levels exceeding $300 \mu\text{g}/\text{m}^3$ in several cities of China and India. In a limited number of cities the mass concentration of particles with aerodynamic diameter less than $10 \mu\text{m}$ (PM_{10}) is also measured. In Asian cities, an increase in PM_{10} concentration was experienced in the 1990s. This increase has occurred even when a reduction in TSP was reported. An opposite trend and a reduction in PM_{10} level were seen in cities of Central and South America.

Where leaded vehicle fuel is still used, airborne lead concentrations are likely to be in the range of $0.5 - 6 \mu\text{g}/\text{m}^3$ (WHO 1995a). Even higher values were observed in Dhaka (up to $14.6 \mu\text{g}/\text{m}^3$) over the period November 1995 to January 1996 (UNEP/WHO/SEI/KEI 2002b). Where leaded fuel is no longer used, concentrations are likely to fall to less than $0.2 \mu\text{g}/\text{m}^3$ (WHO 1995a; UNEP/WHO/SEI/KEI 2002a; b). Reductions in lead in air have been reported from India, Indonesia, and Thailand (UNEP/WHO/SEI/KEI 2002b). The lead contamination is still more serious in developing than developed countries, as illustrated by Figure 1.

The concentrations of air pollution in major and megacities of developing countries reach levels of concern for public health (see Table 1).

2.3 Classification of health effects of air pollutants on various compartments of the human body

The health impacts of air pollutants are numerous and varied and can become manifest in any compartment of the human body. Compartments affected include the respiratory system, immune system, skin and mucous tissues,

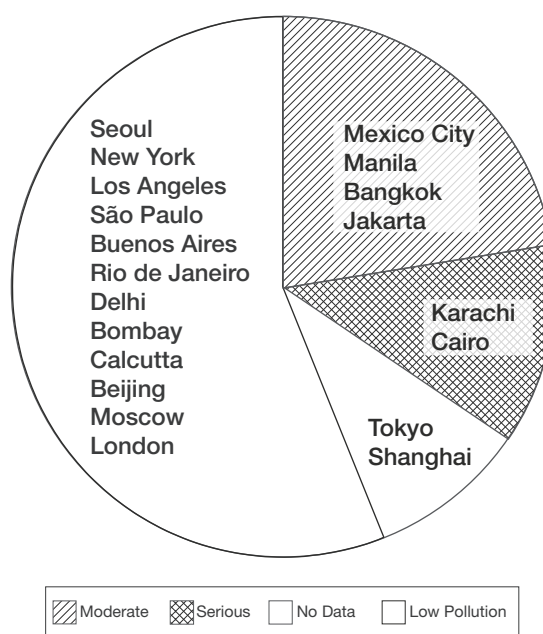


Fig. 1
Classification of megacities according to their lead levels.

UNEP/UNICEF (1997)

Notes:

Serious: Lead levels above $2 \mu\text{g}/\text{m}^3$

Moderate: Lead levels between 1 and $2 \mu\text{g}/\text{m}^3$

Low: Lead levels below $1 \mu\text{g}/\text{m}^3$

sensory system, central and peripheral nervous system, and the cardiovascular system.

Health effects of air pollution on the respiratory system (lower airways, see Figure 2) include acute and chronic changes in pulmonary function, increased incidence and prevalence of respiratory symptoms, sensitisation of airways to allergens, and exacerbation of respiratory infections such as rhinitis, sinusitis, pneumonia, alveolitis, and legionnaires' disease. Principal agents for these health effects are the combustion products SO_2 , NO_2 , PM_{10} and CO. In addition, indoor air pollutants – fine PM from environmental tobacco smoke (ETS), formaldehyde, and infectious organisms – can also act as important agents.

Health effects of air pollution on immune system allergies manifest themselves in exacerbation of allergic asthma, allergic rhino-conjunctivitis, extrinsic allergic alveolitis/hypersensitivity pneumonitis, and can produce permanent lung damage in sensitised individuals including pulmonary insufficiency. Principal agents are

Table 1: Sources of air pollution, effects, and World Health Organization guidelines for selected pollutants

POLLUTANT	MAJOR SOURCES	EFFECTS	HEALTH GUIDELINES (WHO 2002a)
Carbon monoxide (CO)	Motor-vehicle exhaust; some industrial processes	Poisonous to humans when inhaled. CO reduces the oxygen carrying capacity of blood and places additional strain on the heart and lungs	10 mg/m ³ (10 ppm) over 8 hr; 30 mg/m ³ over 1 hr (30,000 µg/m ³)
Sulphur dioxide (SO ₂)	Minor contribution from mobile sources. Heat and power generation facilities that use oil or coal containing sulphur; sulphuric acid plants	A human irritant, SO ₂ undertakes atmospheric reactions to contribute to acid rain	125 µg/m ³ over 24 hr; 500 µg/m ³ over 10 min
PM ₁₀ particulate matter	Soil, oceanic spray, bush fires, domestic burning, motor vehicles, industrial processes and organic dusts from plant material	Contributes to haze, increases cancer risk, mortality effects, aggravates respiratory illnesses	Available information does not indicate concentrations below which no effects would be expected. For this reason, no guideline value for short term avg. concentrations is recommended
Lead (Pb)	Added to some fuels, Pb is emitted from motor-vehicle exhaust; lead smelters; battery plants	Affects intellectual development in children; many other adverse effects	0.5 µg/m ³ over a year
Nitrogen oxides (NO, NO ₂)	A side effect of high combustion temperatures causing bonding of nitrogen and oxygen in motor vehicle exhaust; heat and power generation; nitric acid; explosives; fertilizer plants	Irritant, precursor to photochemical smog formation	200 µg/m ³ over 1 hr for NO ₂
Photochemical oxidants (primarily ozone [O ₃]; also peroxyacetyl nitrate [PAN] and aldehydes)	Formed in the atmosphere by reaction of nitrogen oxides, hydrocarbons, and sunlight	An irritant, Photochemical oxidants contribute to haze, material damage, and, aggravates respiratory illnesses	120 µg/m ³ over 8 hrs

Table 2: Summary of pollutant types and emissions for some typical engine and fuel combinations.

Engine Type	Fuel Type	Vehicle Type	Major Emissions*
4-Stroke cycle	Gasoline	Cars (also trucks, aircraft, motorcycles)	HC, CO, NO _x
Diesel	Diesel Oil	Trucks, buses, tractors (also cars)	NO _x , SO _x , soot, particulates
2-Stroke cycle	Gasoline/oil mixture	Motorcycles, outboard motors	HC, CO, particulates
Gas turbine	Jet	Aircraft, marine applications	NO _x , particulates

known to be outdoor allergens and indoor air agents such as house mite dust, cockroaches, organisms living in the pelt of pets, insects and moulds in high humidity environments. Multi-centre studies have shown different spatial patterns of allergic disease (e.g. asthma, rhinitis and eczema) as well as allergic hyper-sensitisation. These variations cannot be reconciled by geographical differences in allergen exposure since the major aeroallergens are widespread.

Health effects of air pollution on the skin and on mucous tissues (eyes, nose, throat) are mostly irritating effects. Primary sensory irritations include dry – sore – throat, tingling sensation of nose, and watering and painful eyes. Secondary irritation is characterised by oedema and inflammation of the skin and mucous membranes up to irreversible changes in these organs. Principal agents include volatile organic compounds, formaldehyde and other aldehydes (e. g. acetaldehyde, acrolein) and ETS.

Sensory effects of air pollution include nuisance and annoyance reactions caused by perception of air pollutants through sensory organs. VOCs, formaldehyde and ETS can act as principal agents.

Effects of air pollution on the central nervous system manifest themselves in damage of the nerve cells, either toxic or hypoxic/anoxic. Principal agents are VOCs (acetone, benzene, toluene, formaldehyde), CO and pesticides. In infants and young children, neuro-physiological

Box 6: Health effects in children

In 1990, the World Summit for Children was convened in order to address the desire to provide a better future for every child in the world. For the Summit, UNEP and UNICEF published the 1990 *Children and the Environment* report, with the message that “environmental degradation is killing children.” This theme recognises that a clean, healthy environment is the first step toward providing a better future for children. However, in the years that have passed since the Summit and the publication of the report, many problems have persisted while others have arisen.

Children are especially vulnerable to the adverse effects of air pollution because of their physical characteristics and their childhood behaviour. Children’s intake of contaminants is greater than that of adults because per unit of body weight, they eat, drink, and breathe more, and their surface area to volume ratio is nearly three times that of an adult. Body functions, such as detoxification, metabolic changes, and excretion of toxins are also different than those in adults. The immune system, nervous system, and organs of children are not yet fully developed. All these impacts may lead to permanent damage.

Children of low income families often live in areas with high levels of air pollution. Many children live within a close distance, if not on top of, former or even present toxic waste dumps. Moreover, the urban poor often live very near to highways or the urban industrial sector, thus being exposed to the pollutants that are emitted from heavy traffic and polluting industries. In fact, in the urban slum areas of Bangladesh, lead levels in the air are three times greater than WHO air quality guidelines.

UNEP/UNICEF 1990; CICH 2000; UNEP/UNICEF/WHO 2001

changes caused by Pb can result in developmental retardation and irreversible deficiencies.

Effects of air pollution on the cardiovascular system develop through reduced oxygenation and result in increased incidence and prevalence of cardiovascular diseases, myocardial infarction, and consequent increase in mortality caused by cardiovascular diseases. Principal agents are CO, PM, and ETS.

Carcinogenic effects of air pollution are associated with lung cancer, skin cancer, and leukaemia. Principal agents for lung cancer have been identified as arsenic, asbestos fibres, chromium, nickel, cadmium, polycyclic aromatic hydrocar-

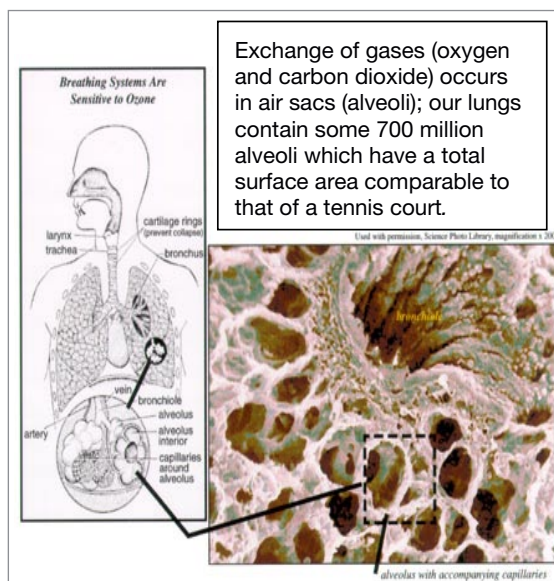


Fig. 2
The respiratory system.
Science Library

bons (PAH), trichloroethylene, ETS, and radon. Benzene is known to produce leukaemia, and ultraviolet radiation is a causative agent of skin cancer. A difficult and largely unresolved question is that of synergism among the different carcinogenic compounds and between carcinogenic and non-carcinogenic agents.

The health impacts of lead are especially serious in children because lead affects the body during the crucial development. Children are known to develop learning disabilities and intelligence quotients decrease (see Box 6).

2.4 An emerging issue: noise

In comparison with other pollutants, environmental noise has always been an underestimated environmental problem (see Box 7). The control of environmental noise, is, however, important as some health endpoints are influenced by both noise and air pollution (Schwela 2001). In addition, the inclusion of noise issues in air quality management has been hampered by insufficient knowledge of its effects on humans and of dose-response relationships as well as a lack of defined criteria. From both perspectives, practical actions to limit and control exposure to environmental noise are essential (see Module 5c: *Noise and its Abatement*). The recently published WHO *Guidelines for Community Noise* (WHO 2000b), which cover also issues of noise assessment and management, could help cities to address increasing noise problems.

Box 7: Noise pollution

The noise problems of modern societies are characterised by an immense number of cars and heavily laden lorries with diesel engines in cities and the countryside, particularly in developing countries. Health problems may be quite substantial, including physical stress, hearing impairment, and exacerbation of cardiovascular disease.

The extent of the noise problem in developing countries is large. Noise levels alongside densely traveled roads in Bangkok, Thailand, were found to amount to 75 to 80 dBA for 24 hours (WHO 2000b). In a study in Karachi, Pakistan, it was observed that about 83% of street policemen had noise induced hearing loss. The same study reported noise induced hearing loss in 33% of rickshaw drivers and 57% of shopkeepers in a busy bazaar.

WHO 2000b

3. Air Quality Management

3.1 Introduction

Basic principles guide international and national policies for the management of all forms of air pollution. An important global initiative occurred in 1983 when the UN General Assembly established the World Commission on Environment and Development. The report produced by the Commission, *Our Common Future*, was endorsed by the UN General Assembly in 1987. It has been influential in bringing environmental issues into the global arena, and in expressing influential concepts in air quality management (WCED 1987) (see further Module i: *Sourcebook Overview, and Cross-cutting Issues of Urban Transport*).

The Brundtland Commission suggested that sustainable development would be required to meet the legitimate aspirations of the world population without destroying the environment. It defined **sustainable development** as: “*development that meets the needs of the present without compromising the ability of future generations to meet their own needs.*” This concept has been embraced as an apparent means of integrating environmental policy and economic development.

Following the Brundtland Commission, the UN Conference on the Environment and Development was held in Rio, in 1992 (UNCED 1992). The aim was to ensure that practical foundations for sustainable development were put in place. The *Agenda 21* document and the Rio declaration were the most obvious results of this conference. *Agenda 21* is a document covering sustainable development, which is not binding on countries. However, national implementation is reviewed by the Sustainable Development Commission and the UN General Assembly. *Agenda 21* supports a number of environmental management principles on which some government policies are based, including air quality management. These include:

- the **precautionary principle** – where it is clear that a proposal will damage the environment, action should be taken to protect the environment without awaiting scientific proof of damage.
- the **polluter pays** principle – the full costs associated with pollution (including monitoring, management, clean-up and supervision) should be met by the organisation or person responsible for the source of the pollution.

In addition, many countries have adopted the principle of **pollution prevention**, which aims to reduce pollution at sources.

Agenda 21 states in Chapter 6 on ‘human health and environmental pollution’ that nationally determined action programs in the area of urban air pollution, with international assistance, support and coordination where necessary, should include (UNCED 1992):

- I. *Develop appropriate pollution control technology on the basis of risk assessment and epidemiological research for the introduction of environmentally sound production processes and suitable safe mass transport.*
- II. *Develop air pollution control capacities in large cities, emphasizing enforcement programs and using monitoring networks, as appropriate.*

Ten years after Rio, the World Summit on Sustainable Development (WSSD) recognised the problem of air pollution in Section IV 39 of its Plan of Implementation of the WSSD, which requests States to:

“Enhance cooperation at the international, regional and national levels to reduce air pollution, including transboundary air pollution, acid deposition and ozone depletion bearing in mind the Rio principles, including, inter alia, the principle that, in view of the different contributions to global environmental degradation, States have common but differentiated responsibilities, with actions at all levels to:

(a) Strengthen capacities of developing countries and countries with economies in transition to measure, reduce and assess the impacts of air pollution, including health impacts, and provide financial and technical support for these activities” (WSSD 2002).

The Plan acknowledges the significant impact of air pollution on human health in Section VI on Health and Sustainable Development which states:

“49. Reduce respiratory diseases and other health impacts resulting from air pollution, with particular attention to women and children, by:

(a) Strengthening regional and national programmes, including through public-private partnerships, with technical and financial assistance to developing countries;

(b) Supporting the phasing out of lead in gasoline;

(c) Strengthening and supporting efforts for the reduction of emissions, through the use of cleaner fuels and modern pollution control techniques;

56. Phase out lead in lead-based paints and other sources of human exposure, work to prevent, in particular, children’s exposure to lead, and strengthen monitoring and surveillance efforts and the treatment of lead poisoning” (WSSD 2002).

By this, cities in both developed and developing countries are obliged to implement air quality management strategies to address the deterioration in urban air quality associated with high levels of population growth, urbanisation, industrial activity and motor vehicle use.

However, there is no universal air quality management strategy that could be applied to all cities throughout the world. Each urban area is unique in terms of its air pollution problems, spatial and temporal patterns of emission sources and cultural, economic, physical and social characteristics.

3.2 Towards a Strategy for Air Quality Management

The goal of air quality management is to maintain a quality of air that protects human health and welfare. This goal also includes protection of animals, plants (crops, forests and natural vegetation), ecosystems, materials and aesthetics, such as natural levels of visibility (Murray 1997). And to achieve this air quality goal, it is necessary to develop appropriate air quality policies and strategies.

Government policy is the foundation for air quality management. Without a suitable policy framework and adequate legislation it is difficult to maintain an active or successful air quality management program. A policy framework refers to policies in several areas, including transport, energy, planning, development and the environment. Air quality objectives are more readily achieved if these interconnected government policies are compatible, and if mechanisms exist for co-ordinating responses to issues which cross different areas of government policy. Measures adopted in many developed countries for integrating air quality policy with health, energy, transport and other areas are summarised in a report of the United Nations Economic Commission for Europe (UNECE 1999).

The complete scheme of relevant interrelationships in air quality management is depicted in Figure 3. The complexity of this figure reflects the complexity of the task. Two aspects of Figure 3 should be emphasised. First, the scheme clearly indicates that air quality management has the ultimate goal of avoiding health and environmental impacts of air pollution. If man-made air pollution had no effects whatsoever, people would not care. Thus all the instruments developed in the course of the last fifty years such as emissions inventories, dispersion modelling, or concentrations inventories, only serve to enable decision makers to develop legislation and regulations needed to avoid detrimental effects on public health and the environment. The instruments mentioned are, therefore, tactical tools in air quality management, while health and environmental preservation are to be grounded in goals and objectives of air quality management. Emissions inventories, concentra-

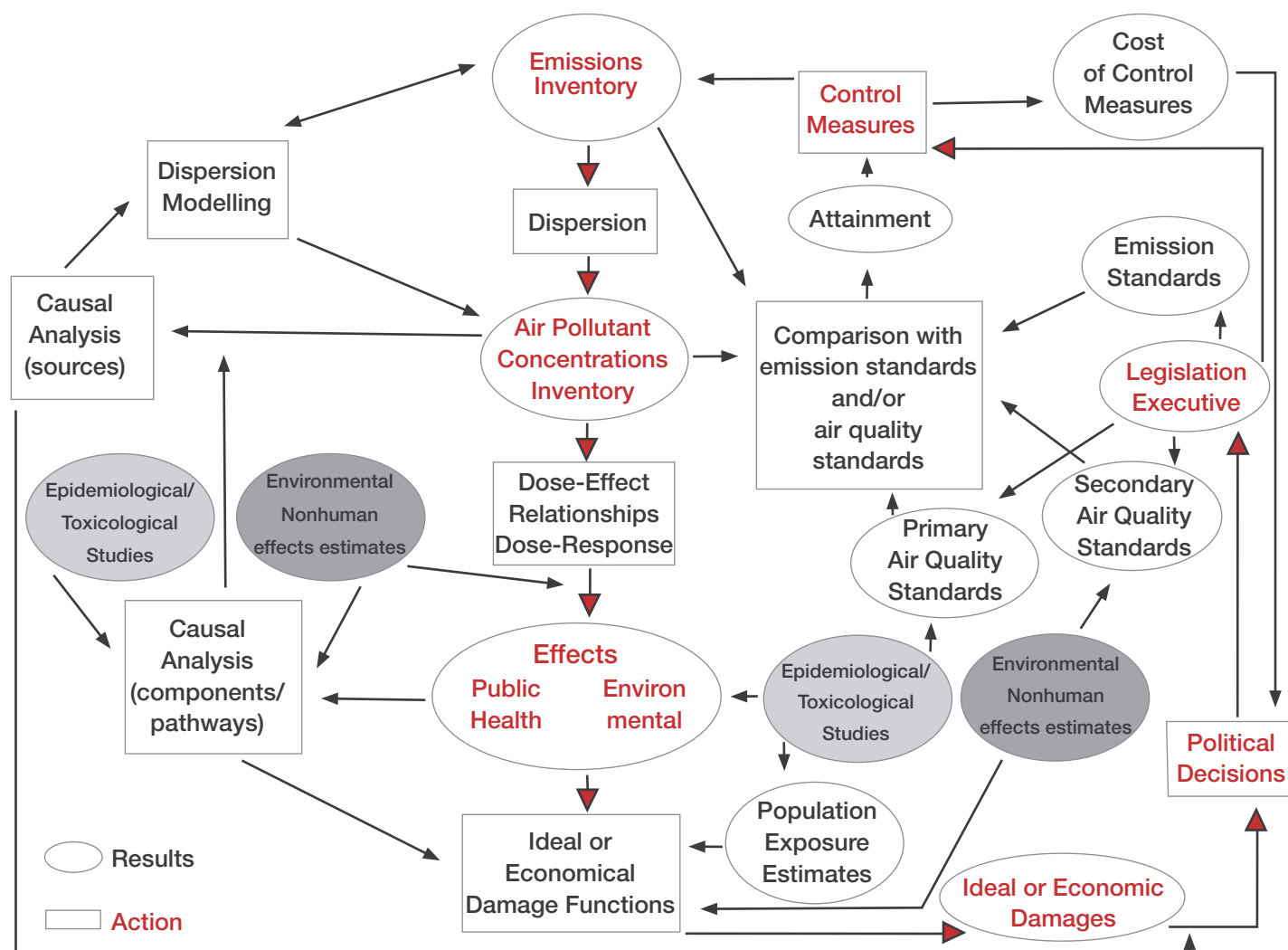
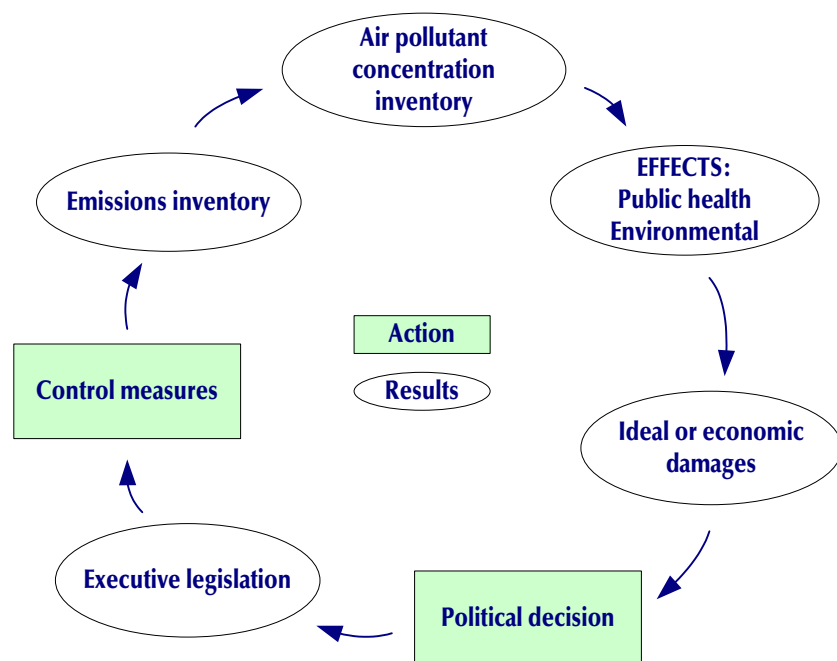


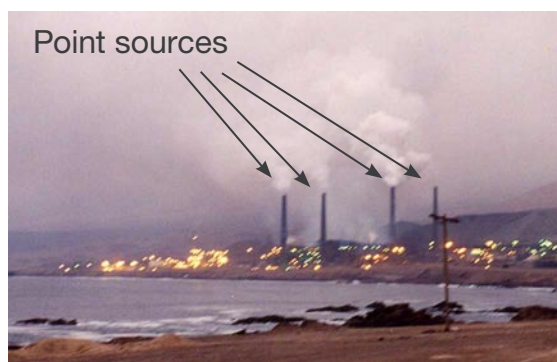
Fig. 3a and 3b

The scope of air quality management (above), and a simplified air quality management cycle (left).



tion measurements, dispersion models and other tools of air quality management are, therefore, never ends in themselves; the ends are human health and a healthy environment.

Second, data of known quality obtained from the tactical tools of monitoring and assessment in air quality management are only intended to generate information for decision makers and the public, which leads to political decisions and the formulation of policies appropriate to prevent adverse impacts of air pollution on human health and the environment. Also under this aspect of policy formation, health and environment have the prominent role of defin-

**Fig. 4**

A copper smelter in Ilo Perú.

Dietrich Schwela, WHO

ing the objectives of policies and regulations. (It should be noted that the information necessary for politicians is created from “data of known quality” and not necessarily from data of high quality, which although most desirable cannot always be obtained under the conditions of many developing countries.)

The policy formation and decision support system aspects of air quality management will be taken up in Section 6 of this module. In the following paragraphs air quality assessment tools are considered in more detail. The main tools are:

- emissions inventories/measurement
- outdoor monitoring
- dispersion models.

All three assessment tools are interdependent in scope and application. Accordingly, monitoring, modelling and emission assessments should be regarded as complementary components in any integrated approach to exposure assessment or determining compliance against air quality criteria.

3.3 Emission inventories

3.3.1 Introduction

A crucial component of an air quality management plan is a reasonable quantitative knowledge of the sources of the various emissions. An emissions inventory is essential. In some cases, emissions are described in source groups. These may be:

- **Point sources** such as stacks in major industrial sites (see Figure 4).
- **Mobile sources** such as on-road motor vehicles. Mobile sources are often considered as

**Fig. 5**

Traffic congestion in a street in Bangkok.

Karl Fjellstrom, 2002

**Fig. 6**

Waste deposit in Lagos, Nigeria.

Dietrich Schwela, WHO

line sources as it is not practicable to consider the emissions from each car separately but rather to sum up the emissions along the road (considered as a line; see Figure 5).

- **Area sources** include open burning of waste materials from agriculture, forestry and land clearance. Other sources are forest fires, emissions from vehicle refuelling, off-road vehicles and marine craft, and commercial and domestic fuel combustion. Surface mining and overgrazing of land in semi-arid areas can also act as sources of particles. A typical area source is shown in Figure 6.

Biogenic or natural sources, such as deserts, eroded areas, agricultural emissions are a non-anthropogenic source category, mostly being to area sources.

Emission factors software

Vehicle emission factor models are software tools for predicting gram per mile (or kilometre) emissions of hydrocarbons (HC), carbon monoxide (CO), oxides of nitrogen (NO_x), particulate matter (PM) and air toxics from cars, trucks, and motorcycles under various conditions.

The German Federal Environmental Agency (<http://www.uba.de>) has recently developed a *Handbook of Emission Factors*; a sophisticated modelling application applicable to various fleet characteristics and climatic conditions.

3.3.2 Steps in constructing an emissions inventory

There is no single way to develop an emissions inventory. The following procedures have previously been implemented in developing countries, and could be considered as a model.

1. Assigning pollutant categories

These include:

- pollutants that should be inventoried and for which data is readily available (commonly primary pollutants such as lead, and particulates),
- pollutants that should be included but for which data is limited or not available,
- secondary pollutants that are formed in the atmosphere, which can only be attributed to the concentrations of precursor sources by numerical models (such as ground level ozone).

2. Compiling the data

Constructing the inventory requires information on the source strength (the amount of emissions) of all emitters within a specified area. Five stages in calculating an emission inventory are generally recognized. These include:

- establishing a list of point, area and mobile sources
- contacting and obtaining from plant operators quantitative estimates of point source emissions
- obtaining raw data and deriving activity data on such factors as the size and classification of the vehicle fleet, kilometres travelled and estimates for domestic fuel consumption
- reviewing data for validity and suitability
- processing the individual source and activity level data to provide a spatially desegregated source inventory.

For some components of an emissions inventory accurate data may be available. For example, accurate emissions data may be available for some industrial sites from measurements of stack emissions. In other cases, emissions can be calculated from estimates of process inputs. For example, the emissions of SO₂ from coal-fired electricity generation plants can often be calculated with reasonable accuracy from the knowl-

edge of the throughput and sulphur content of the fuels and other information.

While estimates of emissions are needed to develop emission inventories, measurements to confirm the veracity of the estimates are highly desirable. Surveys may be used for point sources such as large industrial facilities to provide data on their emissions. However, reporting by companies is not always complete, particularly for fugitive emissions (such as leaks of volatile substances, equipment leaks and loss of fine particles from stockpiles), and for combustion products such as PAH for which sufficient data may not be available.

In some developing countries, reliable statistical information for producing reliable emissions estimates is lacking. However, where action is needed to improve air quality, the absence of this information should not prevent the development of preliminary emissions estimates on the basis of appropriate population, socio-economic and traffic-related indicators. Basic information about the population, transportation, industry, fuels and other information can be used to calculate preliminary emissions estimates (Kato and Akimoto 1992) with corresponding potential transportation emission reductions measures. This information is also related to the application of transportation planning, traffic control, traffic regulation and other traffic management measures, which can reduce individual traffic emissions through mobility management measures (see Module 2b: *Mobility Management*). All these aspects are helpful to develop and implement air quality management or clean air implementation plans. Such preliminary emissions estimates can be revised as more accurate information becomes available.

3. Determining emission factors

Emission factors relate pollutant emissions to level of activity. Table 3 presents examples of activity level statistics from various sources.

When source data are missing, it is common to use general emission factors for both point and diffuse sources. (Emissions from diffuse sources include emissions from motor vehicles and off-road mobile sources, and area sources such as light industry, domestic and wood burning, as well as biogenic emissions from natural sources

such as vegetation.) Emission factors for diffuse sources are usually calculated using data specific for each source type. For example motor vehicle emissions may be estimated by calculations involving the distance traveled by vehicles, the number of vehicles, temperature, fuel consumption and the composition and properties of the fuels used.

General emission factors for various industrial processes are available from published sources (such as EEA (undated); (USEPA 1998; 2000a; b) and more recent supplements and updates). However, these emission factors need to be used with care, as adjustments in emission factors may be needed to take into account differences in operating conditions, fuels and feed materials.

Sources of information on how to prepare rapid emissions inventories include WHO 1993a; b; 1995; 1997. These include the use of emission factors for the car fleet in a city, which is classified by type and age of the vehicles, cylinder displacement, and catalytic converter, diesel filter and other emission-reducing equipment. Details on these issues can be found in WHO 1993a. WHO, in collaboration with the US Environmental Protection Agency has developed a *Teacher's Guide for Motor Vehicle Air Pollution* comprising a one week training workshop (WHO 1996), which covers all relevant topics including case studies in developing countries. Additional aspects are discussed in Section 6.

More sophisticated inventories of motor vehicle emissions include different control measures and allow evaluation of the effectiveness of a given regulatory program. Some of the more important factors to be included in a more comprehensive emissions inventory are:

- emission factors envisaged for new vehicles
- deterioration of vehicle emissions with vehicle age and mileage
- tampering effects
- vehicle maintenance
- inspections and maintenance and anti-tampering checks
- vehicle miles travelled per vehicle and year
- vehicle misfuelling, fuel volatility and other fuel characteristics such as sulphur content, distillation characteristics and oxygen content

Table 3: Examples of typical activity level statistics from various sources.

Source	Pollutant	Activity level
Four-stroke gasoline vehicle	NO _x	grams of NO _x per km travelled
	CO	grams of CO per km travelled
Steam boiler	particulate	grams of particulate per tonne of steam produced
	NO _x	grams of NO _x per tonne of steam produced
Power generating plant	particulate	grams of particulate per kilowatt fired
	NO _x	grams of NO _x per kilowatt fired
Nitric Acid plant	NO _x	grams of NO _x per tonne of HNO ₃ produced

- ambient temperature.

Some details regarding these issues are given by Walsh 1999.

Once the inventory has been completed it is important to conduct an emissions verification exercise to ensure that the accuracy and precision of estimates remain within acceptable parameters. Verification involves ascertaining the completeness and consistency of the data input and involves checks on:

- how definitions of sources and of pollutants have been applied
- the completeness of the data entered for each sector, sub-sector and activity
- the consistency of the inventory at different levels of spatial disaggregation
- the transparency of the emissions inventory – whether the data inputs are fully traceable to their references.

Verification can also involve the use of dispersion and modelling studies to assess the inventory in relation to measured air quality.

3.4 Ambient air quality monitoring and assessment

3.4.1 Assessment tools and functions

The ultimate purpose of monitoring is not merely to collect data, but to provide the information necessary for scientists, policy makers and planners to make informed decisions on

Spatial resolution of emissions inventories

In view of the abilities of the rapid techniques of WHO (see e.g. WHO 1995b) and the PAHO/World Bank DSS IPC (see WHO/PAHO/WB 1995a) the emissions of the individual point and line sources can be estimated, and be classified by the individual emitters in a simplified way. The use of a one kilometre grid would only be appropriate for large area sources, and for the purpose of drawing maps of the emission density. For actual cost effective measures at point sources, the contribution of the individual sources is needed, estimated for example by DSS IPC. For motor vehicles the presentation as line sources is much more informative for policy-makers.

managing and improving the environment. Monitoring fulfils a central role in this process, providing the necessary sound scientific basis for policy and strategy development, objective setting, compliance measurement against targets and enforcement action (see Figure 3).

However, the limitations of monitoring should be recognised. In many circumstances, measurements alone may be insufficient – or impractical – for the purpose of fully defining population exposure in a city or country. No monitoring program, however well funded and designed, can hope to comprehensively quantify patterns of air pollution in both space and time. At best, monitoring provides an incomplete – but useful – picture of current environmental quality. Monitoring therefore often needs to be used in conjunction with other objective assessment techniques, including dispersion modelling, emission measurement and inventories, interpolation and mapping.

Conversely, reliance on modelling alone is not recommended. Although models can provide a powerful tool for interpolation, prediction, and optimisation of control strategies, they depend on the availability of reliable emission data. A complete inventory for a city or country may need to include emissions from point, area and mobile sources; in some circumstances, assessment of pollutants transported into the area under study may also need to be considered. It is important, also, that the models utilised are appropriate to local conditions, sources and topography, as well as being selected for compatibility with available emission and meteorological datasets.

Inventories in developing cities will, for the most part, be estimated using emission factors appropriate to the various source sectors (verified by measurement), and used in conjunction with surrogate statistics such as population density, fuel use, vehicle kilometres or industrial throughput. Emission measurements will usually only be available for large industrial point sources, or from representative vehicle types under standardised driving conditions.

3.4.2 Monitoring objectives

The first step in designing or implementing any monitoring system is to define its clear, realistic and achievable monitoring objectives.

Setting diffuse, overly restrictive or ambitious monitoring objectives will result in cost-ineffective programs with poor data utility. In such circumstances, it will not be possible to make optimal use of the available resources. Clear, realistic and achievable monitoring objectives include:

- determining population exposure and health impact assessment
- informing the public about air quality and raising awareness
- identifying threats to natural ecosystems
- determining compliance with national or international standards
- providing objective inputs to air quality management, traffic and land-use planning
- source apportionment and identification
- policy development and prioritisation of management actions
- development/validation of management tools (models, Geographic Information Systems, etc.)
- assessing point or area source impacts
- trend qualification, to identify future problems or progress against management/control targets.

Clearly defined monitoring objectives enable appropriate data quality objectives to be defined. The following are the essential requirements to be met by measurements, if overall monitoring objectives are to be achieved:

- measurement accuracy and precision
- traceability to metrology standards
- temporal completeness (data capture)
- spatial representativeness and coverage
- consistency - from site to site and over time
- international comparability/harmonisation.

In turn, this makes it possible for a targeted and cost-effective quality assurance program to be developed.

The relationships between the data collected and the information to be derived from it must be taken into account when a monitoring programme is planned. This emphasises the need for users and potential users of the data to be involved in the planning of surveys, not only to ensure that they are appropriate to their needs, but also to justify the resource commitment. It should be recognised that monitoring

networks are invariably designed for a variety of functions. These may include policy and strategy development, local or national planning, measurement against international guidelines, identification /quantification of risk and public awareness.

3.4.3 Quality assurance and quality control (QA/QC)

Quality assurance and control (QA/QC) is an essential part of any air monitoring system. It is a program of activities that ensures that measurements meet defined and appropriate standards of quality, with a stated level of confidence. The function of QA/QC is to ensure that data are fit for the purpose. Major QA/QC objectives include:

- measurements which are accurate, precise and credible
- data which is representative of ambient or exposure conditions
- results which are comparable and traceable
- measurements which are consistent over time
- high data capture, evenly distributed
- optimal use of resources.

The functional components of a QA/QC program are identified in Table 4.

QA/QC systems are considered in greater detail elsewhere (UNEP/WHO 1994a; Bower 1997; WHO 2003) with respect to:

- site operation
- site selection

Table 4: QA/QC for Air Monitoring: the major components.

Quality Assurance	<ul style="list-style-type: none"> • Definition of monitoring and data quality objectives. • Network design, management and training systems. • Site selection and establishment. • Equipment evaluation and selection.
Quality Control	<ul style="list-style-type: none"> • Routine site operations. • Establishment of calibration/traceability chain. • Network audits and inter-calibrations. • System maintenance and support. • Data review and management.

- equipment education
- operator training
- laboratory QA
- point of measurement QA
- the need for effective data screening and validation
- avoidance of spurious data collection.

3.4.4 Network design

There are no universal rules for network design, since any decisions will be determined ultimately by the overall monitoring objectives and resource availability. Although monitoring systems can have just a single, specific objective, it is more common for them to have a broad range of targeted program functions. No survey design can hope to completely address all the possible monitoring objectives listed in Section 3.4.2. However, the design of surveys to meet these individual requirements often has common features, and should use common data (to avoid duplication of effort) and overlapping data to verify the credibility of results and conclusions. The overall design goal is to ensure that the maximum information be derived from the given effort. Where networks may be operated by a variety of organisations, standardisation or at least harmonisation of the programs and sharing of data is vital to avoid unnecessary effort and to maximise overall cost-effectiveness.

A key issue, which needs to be addressed at a very early stage of the network design process, is that of resource availability. In practice, this is usually the major determinant in network design, which will exert a particularly strong influence on the choice of site numbers, pollutants to be monitored and instrumentation selected.

A wide range of commitments and costs is likely to be incurred in any air monitoring program, including purchase of analysers, equipment maintenance, staff costs, and running costs. Before any firm capital or resource commitment it is therefore essential to plan the survey, assess resource availability, select the most appropriate equipment and choose monitoring sites.

3.4.5 Site numbers and selection

For the purposes of designing a network to assess population exposure and compliance with

air quality guidelines or standards, a number of basic issues need to be addressed including:

- Where is the population?
- What pollutant concentrations are they exposed to?
- ... and for how long?
- In what areas and micro-environments is exposure important?

In practice, the number and distribution of air quality monitoring stations required in any network, or the number of samplers used in a survey, also depend on:

- required data use/objectives
- area to be covered
- spatial variability of pollutants
- resource availability
- Instruments deployed.

Once the type of a site is chosen – e.g. residential area, commercial area, industrial area, kerb-side – the actual condition of the monitoring site is very important. Requirements should be considered in light of:

- access (and potential vandalism)
- site sheltering
- infrastructure (availability of electricity, telephone)

- closeness to buildings
- free exposure to air stream
- adequate separation from sources or sinks.

These issues should be addressed in a detailed and transparent manner before steps for purchasing instruments are taken. Further advice on a number of approaches to network design and site selection is given in UNEP/WHO 1994a; WHO 2000a; 2003.

3.4.6 Sampling strategies and systems

Monitoring involves assessing pollutant behaviour in both space and time. A good network design should therefore seek to optimise both spatial and temporal coverage, within available resource constraints (UNEP/WHO 1994a; Bower 1997). Integrating measurement methods such as passive samplers, although fundamentally limited in their time resolution, are useful for the assessment of long-term exposure, as well as being invaluable for a variety of area-screening, mapping and network design functions (UNEP/WHO 1994b). Problems can arise, however, when using manual sampling methods in an intermittent, mobile or random deployment strategy.

When auditing monitoring sites world-wide, sampling system deficiencies are by far the most

Table 5: Air monitoring techniques.

UNEP/WHO 1994a

Method	Advantages	Disadvantages	Capital Cost
Passive samplers	<ul style="list-style-type: none"> • Very low cost • Very simple • No dependence on mains electricity • Can be deployed in very large numbers • Useful for screening, mapping and baseline studies 	<ul style="list-style-type: none"> • Unproven for some pollutants • In general only provide monthly and weekly averages • Labour-intensive deployment/analysis • Slow data throughput 	US\$10-70 per sample
Active samplers	<ul style="list-style-type: none"> • Low cost • Easy to operate • Reliable operation/performance • Historical dataset 	<ul style="list-style-type: none"> • Provide daily averages • Labour-intensive sample collection and analysis • Laboratory analysis required 	US\$1000-3000 per unit
Automatic analysers	<ul style="list-style-type: none"> • Proven • High performance • Hourly data • On-line information 	<ul style="list-style-type: none"> • Complex • Expensive • High skill requirement • High recurrent costs 	US\$10 000-15 000 per analyser
Remote sensors	<ul style="list-style-type: none"> • Provide path or range-resolved data • Useful near sources • Multi-component measurements 	<ul style="list-style-type: none"> • Very complex and expensive • Difficult to support, operate, calibrate and validate • Not readily comparable with point data • Atmospheric visibility and interferences 	US\$70 000 - 150 000 per sensor, or more

commonly encountered problem. Usually, these result from inappropriate designs or inadequate cleaning of the sampling system (see further UNEP/WHO 1994a; b; c; WHO 2000a; 2003).

3.4.7 Instrument issues

The capabilities of air monitoring methodologies, as well as their inevitable resource implications, exert a strong influence on network design. This section reviews some of these issues.

Air monitoring methodologies can be divided into four main generic types, covering a wide range of costs and performance levels. These are passive samplers, active samplers, automatic analysers and remote sensors. The main advantages and characteristics of these monitoring technologies are summarised in Table 5.

It is advisable to always choose the simplest technique that will do the job. Inappropriate, overly complex or failure-prone equipment can result in poor network performance, limited data utility and – worst of all – a waste of money. Although monitoring objectives are the major factor to consider, resource constraints and the availability of skilled manpower must also be considered. There is a clear trade-off between equipment cost, complexity, reliability and performance. More advanced systems can provide increasingly refined data, but are usually more complex and difficult to handle.

“Diffusive samplers ... are the method of choice for developing countries just starting to implement air quality management.”

Sampler methods are not necessarily less accurate than automatic analysers. In practice, the combined use of samplers and automatic analysers in a ‘hybrid’ monitoring program can offer a versatile and cost-effective approach to network design over a municipal or national scale. Such a network design will use passive or active samplers to provide good spatial coverage and area-resolution of measurements. Automatic analysers, deployed at carefully selected locations, can provide more detailed time-resolved data for assessing peak concentrations or comparison with short-term standards. Active samplers use pumps to draw air through an

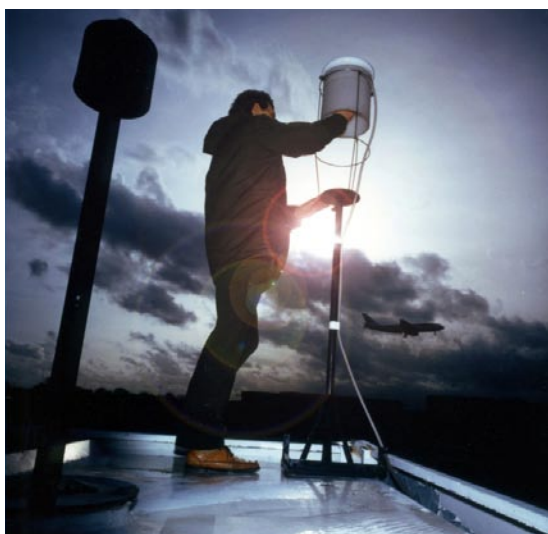


Fig. 7

An active sampler being used for air quality surveys near a UK airport.

Courtesy Jon Bower, AEA Technology

absorbing material. Active samplers have been widely used for decades in many countries of Europe, North America and elsewhere (Figure 7). The items of equipment needed for active sampling and analysis of several air pollutants are readily available, and are manufactured and serviced in many developing countries (UNEP/WHO 1994a).

Passive samplers involve the collection of air pollutants without the use of pumps. There are many types of passive samplers, including bulk collectors, surrogate surfaces, flux samplers, semi-diffusive and diffusive samplers, and so on. Diffusive samplers are special passive samplers which collect gaseous air pollutants by molecular diffusion using absorbing material. Diffusive sampling will be especially useful for the following activities:

- classification of zones
- preliminary assessment of ambient air quality
- tool for network design/optimisation
- air quality monitoring in areas at no risk of exceeding limit values
- determination of areas of homogeneous air quality
- assessment of personal exposure to relevant pollutants.

Diffusive samplers have many advantages but also some disadvantages compared to other approaches and so should be regarded as complementary to other techniques, such as continuous or semi-continuous fixed instruments, and manual pumped methods. Because



Fig. 8
Protective shelters and good mounting are essential in passive sampler surveys.
 Dietrich Schwela, WHO

they are generally unobtrusive and require minimal operator involvement, diffusive samplers are usually the most cost-effective solution to a measurement problem, and are the method of choice for developing countries just starting to implement air quality management. Whereas active samplers present major siting problems due to noisy pumps, diffusive samplers are silent and small and therefore easy to site. Highly skilled personnel are not required on-site. Their main disadvantage, compared to methods where the sampling rate can be controlled directly by means of a sampling pump, is that they are only useful for relatively long exposure times, resulting in time-weighted average concentration measurements. This limitation, however, seems to be being mitigated by recent development of radial diffusive samplers, for example for ozone. The current state of the art of diffusive sampling technique for ambient measurements was presented in a recent conference by the European Communities (EC 2002).

Careful selection of monitoring sites and good mounting of diffusive samplers is vital in surveys using diffusion tubes, as illustrated for example in Figure 8.

3.4.8 Turning data into information

As emphasised in the introduction to this section, the purpose of monitoring is not merely to collect data, but to produce useful information for planning, health professional, regulatory and public end-users. Raw data by themselves are of very limited utility. These first need to be screened (by validation) and collated to produce a reliable and credible dataset (UNEP/WHO 1994a; Bower 1997). In effective Air Quality Management Information Systems, the validated measurements will be archived together with corresponding emission datasets, model predictions and other input relevant to decision-making.

The next stage in data management is analysis and interpretation, designed to provide useful information in an appropriate format for end-users. A variety of proven statistical methodologies are available for air quality datasets, including simple procedures such as calculation of averages, frequency distributions, percentile estimates and more sophisticated means such as correlation procedures, variance and regression analyses. However, the appropriate level and method of data treatment will be determined by the ultimate end-use. A minimum level of data management could be the production of daily, monthly and annual summaries, involving simple statistical and graphical analyses that show both time and frequency distributions of the monitoring data. The use of Geographic Information Systems should be considered, particularly when the intention is to combine pollution data with those from epidemiological and other geo-co-ordinated social, economic or demographic sources.

The information derived from measured data must be reported or otherwise disseminated in a timely manner to end-users. This should be in the form of complete datasets, processed summaries, peak or average statistics, exceedances of standards or targets, analytical results, graphs or maps. Formats for information transfer should

be designed which are both appropriate to the capabilities of the network and to the requirements of the users.

3.4.9 Key pollutants and measurement methods

Measurement techniques available for determining ambient concentrations of the main “classic” pollutants, SO₂, NO₂, CO, O₃, SPM and lead have been described in UNEP/WHO 1994c;d; AEA 1996; WHO/SEARO 1996; WHO/PAHO 1997; BMU 1997; WHO 2003.

3.5 Air quality modelling

As indicated above, adequate understanding of emission, topography, meteorology and chemistry will allow the development of mathematical models for the prediction of pollutants, primary or secondary concentration, and accordingly prediction of impacts (ambient models). Other models estimate vehicle emission factors as functions of speed, ambient temperature, vehicle technology, and other variables.

Computer models developed so far include models for the prediction of air pollution concentration from single sources (*plume model*), in an air stream zone, a combination of stationary and mobile sources (*air stream model*) or in a geographical area at the downwind direction of a multiple sources, such as in cities (*long range transportation model*).

The simplest approach uses a point source dispersion model to estimate the ground-level concentrations of the pollutants of interest at some distance (typically from hundreds of metres to tens of km). More complicated models allow the examination of multiple sources, including area sources.

Dispersion modelling is a powerful tool for the interpolation, prediction and optimisation of control strategies. Models allow the consequences of various options for improving air quality to be compared. However, models need to be validated by monitoring data. Their accuracy depends on many factors, including the accuracy of the source emissions data, the quality of knowledge of meteorological conditions in the area, and the assumptions about physical and chemical processes in the atmosphere

involving the transport and transformation of pollutants.

Dispersion models must be used in the following situations:

- An air pollutant cannot or is too expensive or difficult to be measured.
- A new or the modification of an existing facility is being planned in an urban area.
- Changes in traffic distribution in a city is being planned.

The results of dispersion modelling are typically maps showing the concentration of the considered pollutants (usually particulate matter, CO, O₃, NO₂, etc.) throughout the immediate area surrounding the facility point of origin. The United States Environmental Protection Agency and the European Environment Agency provide websites with urban dispersion models (US EPA 2002; EEA 2002).

3.6 Benchmarking of the capabilities of cities in Air Quality Management

In order to achieve an overall perspective of the air quality management capability of a city it is necessary to develop indicators to assess each component of capability. Once this has been done we can then group these component indicators together into an index of air quality management capability, which can be used to identify deficiencies, make comparisons and so forth. In A GEMS/AIR study (UNEP/WHO/MARC 1996), four sets of indicators (indices) have been developed to represent the principle components of management capability:

1. ***Air quality measurement capacity index*** – assessing the ambient air monitoring taking place in a city, and also the accuracy, precision and representativeness of the data produced.
2. ***Data assessment and availability index*** – assessing how the air quality datasets are processed to enhance their value and provide information in a decision-relevant form. The index also assesses the extent to which there is access to the air quality information and data through different media.
3. ***Emissions estimates index*** – assessment of emissions inventories conducted to determine



Fig. 9
The air quality management capability indices for Alexandria, Egypt.
 UNEP/WHO/MARC 1996



Figs. 10 (above) & 11 (below)
Management capabilities of 20 major cities.
 UNEP/WHO/MARC 1996

the extent to which decision-relevant information is available about the sources of pollution in the city.

4. *Management enabling capabilities index* – assessing the administrative and legislative framework through which emissions control strategies are introduced and implemented to manage air quality.

Each of these four component indexes consists of a number of constituent indicators. For example, the air quality measurement capability index comprises the following indicators: the data validity (QA and QC); air quality measurements taken to determine trends and spatial distribution in pollutant concentrations and health effects, both chronic and acute; and measurements taken of kerbside concentrations. Each of the four indexes consists of a number of component indicators which are designed to determine whether the city has any useful capacity with respect to a particular element of management capability.

Figure 9 illustrates the result of such an estimation for the city of Alexandria, Egypt. The capabilities of the 20 collaborating cities with respect to each of the components of air quality management capability are shown in Figure 10 and Figure 11.

Only Birmingham, Hong Kong, Kiev, Pusan, Santiago and Taipei have good or excellent management capacity in every element of capability. Only Taipei achieved an excellent overall management capability rating. Half of the participating cities achieved a good overall rating, two were moderate, six limited and one minimal. It is apparent that few cities in this study possess all the required capabilities to generate effective air quality management strategies; most, however, possess some level of useful capability. In most cities management capabilities can be effectively developed through making better use of existing resources and through training; some cities require more expensive infrastructure developments in order to significantly improve the range of information available to decision makers.

The cities collaborating in this study have better capabilities than would be anticipated of most developing cities (an unavoidable consequence of the collaborative nature of the project).

Figure 10, however, demonstrates that the cities in this study do represent a range of capacities. It can, therefore, be viewed as presenting a genuine overall picture of global management capabilities.

The main points can be summarised as follows:

- Of the different components of management capability, developing cities generally possess the greatest capability to measure air quality. Eighty-five per cent of the cities participating in the study have established operational monitoring networks. In the remaining cities, some measurements are being, or have recently been, made. Measurement of PM and sulphur dioxide (SO₂) is the most widespread; the least measurements being made for lead (Pb), ozone (O₃) and carbon monoxide (CO). Active sampling techniques are the most widely used methodology, with continuous monitoring networks being increasingly introduced. Passive samplers are in very limited use in the cities participating in this study; this technique could provide useful additional information for characterising air quality at low cost.
- Most cities in this study carry out routine calibration and flow checks to ensure the accuracy of their monitoring data; less cities formally validate their results and very few have established formal data quality objectives, or conduct technical reviews or site audits. For many developing cities it is, therefore, difficult to determine the quality of monitoring data and whether it is adequate for its intended purpose.
- Assessments carried out on monitoring data were generally limited to simple statistics, percentiles, trends and exceedances of air quality standards. Very few developing cities use air quality monitoring data in combination with health indicators in epidemiological studies, or use meteorological and emissions data to produce dispersion models or forecasts of pollution episodes. Computers were, however, used for data analysis in 80% of participating cities. In general, cities do not make optimum use of their air quality data.
- Access to air quality information in most developing cities is available through published annual summary reports, although the numbers printed are sometimes very limited and, consequently, the documents may not be widely distributed. Air quality information is available through the media in over half of the participating developing cities and, in a number of these, qualitative descriptions are used to assist non-experts in understanding. Only 6 of the 20 cities in the study issue alerts during periods of poor air quality; the issuing of advice to sensitive individuals to describe how to reduce the impact of, and exposure to, air pollution is, therefore, uncommon. Additional emissions controls during periods of poor air quality have been introduced in very few of the cities.
- Estimation of emissions is generally the most limited component of management capability; less than 50% of the cities participating in this study have calculated any emissions estimates. Furthermore, in most developing cities in which estimates have been derived, few are validated and most do not include non-combustion sources. In most developing cities which have constructed emissions estimates these must therefore be considered, particularly for some pollutants, only rough approximations and of unknown certainty.

4. Emissions control approaches for developing cities

4.1 Command and control

Laws and regulations are at the heart of air quality management strategies. The traditional approach for developing and implementing air quality management strategies has been the “command and control” approach. This approach has several major features centred around the regulation of emissions. The command and control approach usually involves:

- the development and regulation in law of emissions standards
- the licensing of emissions sources
- the monitoring and reporting of emissions
- penalties for exceeding license conditions.

Under this system, the techniques to be used in areas such as pollution control are prescribed by government, and compliance with conditions is checked by government inspectors. The government issues licences are issued, sets emission standards, checks compliance with standards are checked. Non-compliance cases commonly go to court, which considers mitigating circumstances and sets penalties. New developments or major changes to sources are usually subject to environmental impact assessment, and new sources may be subject to tighter performance standards than existing operations.

The strengths of the “command and control” approach include:

- public confidence
- juridical certainty to industry and the public
- establish a minimum condition
- in some situations the command and control approach has worked extremely well, and many countries have reduced emissions of SO₂, coarse particles and reduced or eliminated lead emissions from petrol.

The weaknesses of the approach include:

- time-consuming, expensive and legalistic
- imposed light penalties may be unsatisfactory for all involved
- rigid approach, with the potential for arbitrary decisions and a focus on end-of-pipe solutions, instead of more comprehensive pollution prevention approaches

- It provides no incentive to minimise emissions
- it usually ignores equity, often requiring highly expensive best-available technology for new sources, while existing sources with a lower level of technology and performance continue to pollute.

In spite of its weaknesses, the “command and control” approach is the most widely used technique around the world, both in developing and developed countries.

In recent years, the trend in most developed countries has been towards decreased use of the command and control approach, and increased use of other forms of regulatory control – economic instruments, co-regulation, and self-regulation (see Table 6). In the approach of self-regulation it is argued that some industry groups are familiar with current best practice within their own industry. Therefore, they can set codes of practice, industry standards and targets, including self-monitoring of compliance and may be subjected to audits. However, self-regulation measures may inspire less public confidence than regulatory control by government.

The use of economic instruments decreases the operating costs for pollution prevention by e.g. reducing subsidies for energy use; and subsidising zero emissions products (UNECE 1999). Pricing policies are a powerful economic instrument for air quality improvements. Another market-oriented approach is a system of tradeable emission permits. In this system, the regulating authority quantifies the total mass of emissions permitted in an area and issues an equivalent number of tradeable emissions entitlements. These tradeable permits can be freely bought and sold.

Companies and their industry organisations have been included in discussions of options for regulation reform, and in the review of these options. This pro-active approach by industry organisations has led to a degree of co-regulation in some areas. It has resulted in the adoption of regulations and guidelines considered to be practical and realistic by stakeholders, and have simplified and reduced the costs of compliance for national governments.

Table 6: Types of environmental regulation.

After Bradfield et al. 1996

Type	Description	Example
Command and control	Issue of licences, setting of standards, checking for compliance with standards, sanctions for non-compliance	Air pollution control Government audits Emission standards
Economic instruments	Use of pricing, subsidies, taxes, and charges to alter production and consumption patterns of organisations and the public	Load-based emission charges Tradeable emission permits Differential taxes True cost pricing of resources
Co-regulation	Formulation and adoption of rules, regulations and guidelines in consultation with stakeholders, negotiated within prescribed boundaries	National registers of pollution emission inventories
Self-regulation	Self-imposition of regulations and guidelines and environmental audits by industry groups. Voluntary adoption of environmental management measures.	Voluntary codes of practice Self-audit Emission reduction targets Environmental management systems

Self regulation is based on a growing worldwide adoption of environmental management systems. These include the British Standard 7750, the European Union Eco-Management and Audit Scheme, and the environmental management system of the International Organisation for Standardisation, the ISO 14000 series (ISO 1996a; b; Sheldon 1997). The adoption of environmental management systems has also influenced the process by which governments define industrial emissions outcomes, while not prescribing to industry how these outcomes should be achieved. More details of economic instruments, co-regulation and self regulation are discussed in WHO 2000, and in Module 1d: *Economic Instruments*.

Emissions control options should involve broad strategic approaches, such as land use, transportation, energy and industrial development planning. Unless air quality planning has a consistency with these other areas, substantial progress is difficult. Complex models have been developed to assess the interaction and consequences of changes in these areas for air quality. However, changes in land use, transportation, energy, and industrial development planning may take decades to substantially improve air quality, so more specific tactics to control emissions are needed. A decision support system for industrial air pollution control is available which aims to support policy makers and managers in analysing and formulating policy options and control measures (WHO 1995b).

4.2 Evaluation of control options

Unless legal constraints prescribe a particular control option, the evaluation of control options must take into account the following factors:

- technical practicability
- financial viability
- social equity of costs and benefits
- costs and benefits for health and environment
- speed with which control options can be implemented
- enforceability.

Although large improvements in air quality have been achieved in some developed countries, the financial costs have been high, and the resource demands of some approaches make them unsuitable for poorer developing countries.

Some countries determine air pollution control requirements on the basis of an assessment of the effects of the pollutants on health and the environment (effect-oriented). Increased emissions may be permitted where the assessment suggests there will be no health or environmental impacts, or ambient air quality standards will not be exceeded. Action may be taken to reduce outdoor concentrations where impacts or exceedances are shown to occur. Other countries base their air quality management policies on the requirement for best available technology, or best available techniques not entailing excessive cost (source-oriented). Most developed countries apply a combination of both source-oriented and effect-oriented principles (UNECE 1999).

4.3 Control of point sources

Air quality management options at point sources refer to:

- siting and planning
- source emissions reduction
 - management and operational changes
 - process optimisation
 - combustion modifications
 - fuel modifications
- emissions control.

The most powerful and cost-effective air quality management options occur during the planning stages of a new facility. Planning options involve careful site selection, to maximise dispersion, and location of the proposed facility away from sensitive receptors, such as residential areas or areas of natural or commercial sensitivity.

Options involving changes in existing production processes or pollution control technology are more limited in scope. Cost-effective approaches to controlling existing air pollution sources are those that entail source emissions reduction: management and operational changes; process optimization; combustion modifications; and fuel modifications. Each approach has a different level of effectiveness on the various air pollutants. For example, process optimization may considerably reduce emissions of volatile and hazardous compounds, but can have little effect on NO_x and SO_2 emissions. In contrast, fuel modifications can decrease NO_x and SO_2 emissions but they may have little effect on volatile and hazardous compounds.

Management audits of emissions, sources, and source strength, and subsequent changes in operation require the implementation of good practices in housekeeping and maintenance, to ensure that systems are in place to check that equipment is maintained, and that staff are trained and properly supervised. It aims to minimize fugitive emissions, and losses from stored liquids and solids, by changing the composition of materials used, provided this can reduce emissions while maintaining product quality.

Process optimisation seeks to achieve emissions reductions by altering the production process without loss of product quality or production volume. It usually involves conducting a series

of changes in which a factor involved in the manufacturing process is altered, such as temperature, ventilation or line speed.

Changes to the way in which combustion occurs, increasing the fuel flow in burners, changes in the geometry of the combustion chamber, and tight control over the oxygen feed into the burner can substantially reduce emissions of NO_x .

“The most powerful and cost-effective air quality management options occur during the planning stages of a new facility.”

The simplest approach to fuel modification is to change the fuel from a relatively dirty fuel, such as coal, to a cleaner fuel such as natural gas. This is usually a cheaper means of reducing emissions than scrubbing SO_2 from emissions. Blending of fuels is also used, such as the blending of low-sulphur coal with high-sulphur coal, and coal/oil blends to reduce emissions of SO_2 . Emissions from processes using coal as a fuel can also be reduced by coal washing, which reduces the proportion of contaminants in coal.

Tall stacks have traditionally been used to reduce ground-level concentrations of air pollutants at minimum cost to the producer. Their effectiveness depends on height, the velocity and temperature of the stack gases, and atmospheric conditions such as wind speed and direction, atmospheric stability, local topography and air quality. Stacks of 200 – 400 metres in height are reasonably effective at reducing ground-level concentrations of air pollutants when they are suitably sited. However, tall stacks do not reduce emissions. They distribute them over a wide area. Where the magnitude of emissions within a region is substantial, or the receiving environment is sensitive, serious environmental effects such as acid deposition and forest decline can occur in remote locations.

There are several techniques to control particle and gaseous emissions (described in detail in the standards literature; e.g. Liu and Liptak 1997). While these control techniques can be very effective, some are expensive in capital and maintenance infrastructure, and may be beyond the resources of some developed and developing

countries. However, not all approaches need be expensive. Source reduction techniques are often the most cost-effective and suitable measures for many developing countries. These include fuel modifications, such as the preparation and use of low-sulphur and low-ash fuels, combined with management and operational approaches to reducing emissions.

4.4 Control of mobile sources

4.4.1 Situation analysis

In city centres, vehicles may be responsible for 90 – 95% of CO and Pb and lead and 60 – 70% of NO_x and HC. As vehicle emissions usually occur near to the breathing zone of people, exposures can be high and they can represent substantial health risks.

While most of the vehicle population is in developed countries, motor vehicle pollution in developing countries is rapidly worsening due to increasing vehicle fleet growth (Figure 12), increasing distances travelled, and high rates of emissions from the vehicle fleets. The causes of the high emissions rates include high proportions of polluting two-stroke engine vehicles, road congestion which increases emissions per kilometre travelled, poor fuel quality including high lead content, inadequate emissions controls, poor maintenance and high average age of the vehicle fleet (see the factors listed in Box 9).

Many countries have acted to regulate and enforce emissions reductions, so ambient concen-

trations of vehicle-related air pollutants – NO_x, CO, lead and hydrocarbons – over the last two decades have declined in most developed countries (USEPA 1995; UNECE 1999). Although in the most wealthy of the developing countries significant improvements in air quality are occurring, in most other developing countries for which data are available, both vehicle emissions and ambient concentrations of vehicle-related air pollutants have increased (WHO 1997). All countries, however, which phased out lead as an additive to increase the antiknock behaviour of gasoline have observed a significant decline in airborne lead concentrations. This latter successes base on the consideration of emissions reduction at the most relevant source, which was then considered to be the source the manipulation of which would be most cost-effective. Such a success constitutes an incentive to focus future measures on the heavy polluters in developing cities, which presently mean diesel vehicles in practice. The reduction of emissions from diesel vehicles would, therefore, be the next cost-effective step forward, to reduce particulate matter concentrations and corresponding health effects in developing cities.

Legislation to lower or remove lead additives from gasoline has been implemented or will soon be implemented in many developing countries. This strategy can be very successful in immediately reducing atmospheric concentrations of lead. Table 7 shows a timeline of the phasing out of leaded gasoline in Asia.

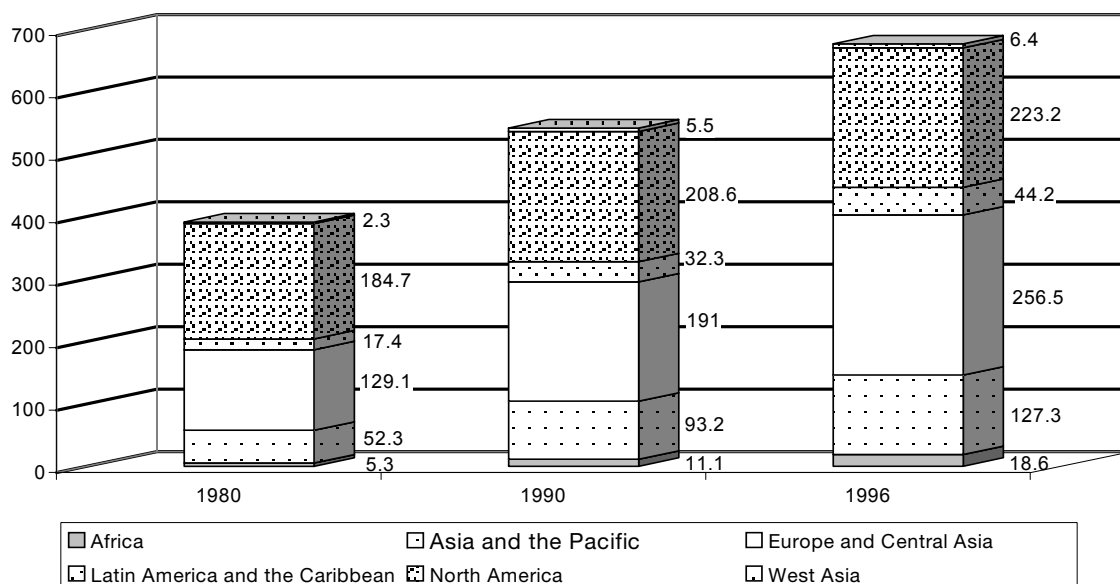


Fig. 12
*Number (in millions)
and spatial distribution
of vehicles in the world.*
UNEP 1999

Table 7: Phasing out of lead in Asia.

http://adb.org/vehicle-emissions, Aug. 2002

2005	
2003	← Indonesia nationwide ban
2002	
2001	← Philippines nationwide ban ← Jakarta city ban
2000	← India nation wide ban ← PR China nationwide ban ← Taipei, China nationwide ban
1999	← Bangladesh nationwide ban ← Hong Kong nationwide ban
1998	← Malaysia nationwide ban ← Singapore nationwide ban
1997	
1996	← Thailand nationwide ban ← USA nationwide ban
1995	
1990	
1985	← Malaysia gradual phase out
1984	← Thailand gradual phase out
1980	
1973	← Thailand gradual phase out

Figure 13 shows the correlation between leaded gasoline and levels of lead in the ambient air in Bangkok, showing that rapid reductions in ambient lead levels are possible with the phasing out of lead in gasoline.

4.4.2 Control

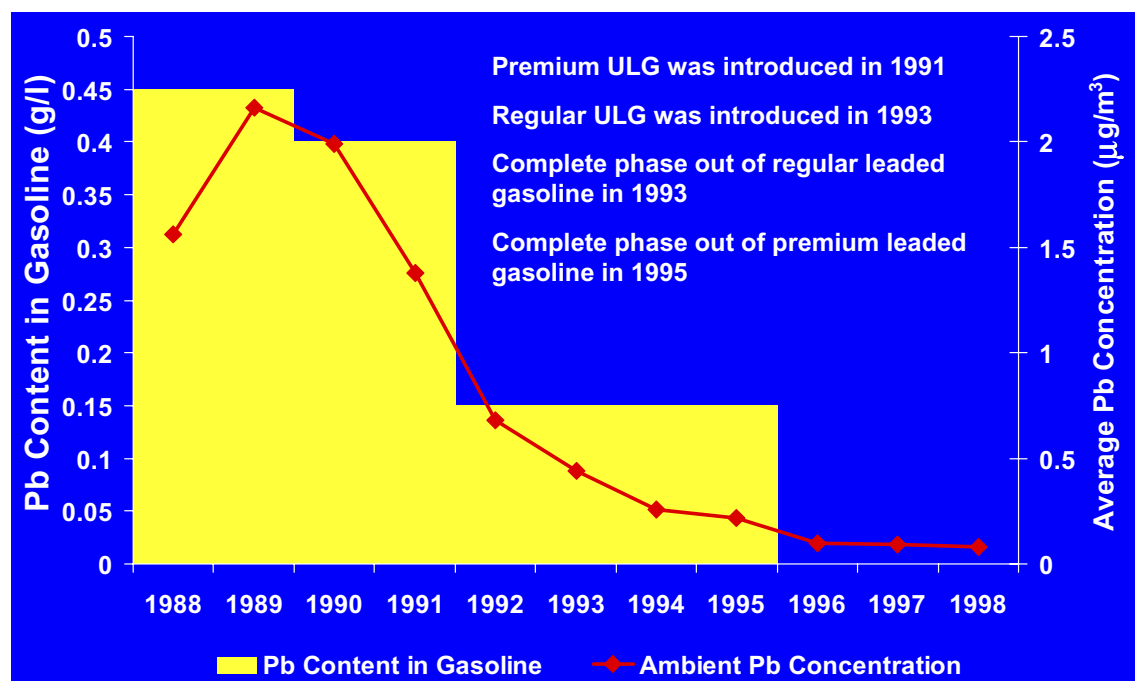
The main components of an integrated air quality management strategy for a developing city will generally include:

- cleaner vehicle and fuel technologies
- traffic management, and economic and financial measures to discourage the use of private cars and motorcycles and to encourage the use of public transport and non-motorised transport modes
- public transport improvements
- institutional and policy-oriented reforms, and public participation.

Leading examples of such integrated AQM strategies are Mexico City and Santiago de Chile (see Box 8).

An overview of opportunities in reducing emissions from individual vehicles, and test cases that examine the effects and potential benefits of technical options for reducing vehicle emissions is presented in Module 4a: *Cleaner Fuels and Vehicle Technologies*.

Vehicle emission standards, now in effect in all industrialised countries, have also been adopted

**Fig. 13**

Ambient lead (Pb) concentrations in Bangkok and Pb in gasoline, 1988 – 1998.

Supat, ADB Clean Fuels Workshop,
New Delhi, May 2001

Box 8: Air Quality Management in Santiago de Chile

In 1998, Santiago de Chile adopted a comprehensive air quality management plan (Plan de Prevención y Descontaminación Atmosférica) under the aegis of Santiago's competent environmental authority CONEMA and with the involvement of 17 institutions from 9 ministries. In addition to its purely technical mechanisms, the plan also includes some distinctly market-oriented instruments. Rapid urbanisation coupled with particularly high rates of industrialisation and expanding traffic volumes since the 1980s made it necessary to take drastic steps to counter the resultant intolerable transgressions of air quality standards for dust, respirable particles, carbon monoxide and ozone.

The plan is based on six principles:

- The participation of social groups in the design and implementation of the measures;
- prevention;
- polluter responsibility;
- the "polluter pays principle" (principle of causation);
- the (cost) effectiveness of measures; and
- the gradual implementation of measures to allow their gradual adaptation.

The defined measures, exceeding 100 in number, apply to the transport, industrial, construction and agricultural sectors. In addition to establishing and monitoring emission standards for industrial activities and transportation and regulations governing the reduction of suspended particulate emissions, the plan also relies on market-economy instruments. New industrial undertakings, for example, are now required to purchase emission rights corresponding to 120% of the factory's own emissions (overcompensation). Also, tax and rate-to-pay incentives have been created with a view to internalising external effects - e.g., via specific fuel levies.

The plan's ambitious goal is to reduce, by the year 2011, most harmful emissions to roughly 50 % of their 1997 levels - despite further anticipated economic development. The implementation of the plan is subject to regular monitoring, and periodical updating of the plan (beginning in the year 2000) is envisaged.

GTZ has been making important contributions to Chile's past efforts to control air pollution and to elaborate the plan and will continue to do so in the future. The current phase of

the pertinent project lasting until the end of 2003 is focused on the provision of advisory services for coordinating the implementation of the air quality control plan, the public relations work and community participation, the integration of urban development and mobility planning, and the further improvement of the monitoring systems for stationary and mobile emission sources, including the definition of standards. In the course of project implementation, public private partnerships, technology transfer and regional city-to-city cooperation in Latin America are gaining significance as major thematic areas.

GTZ, Oct. 2002

in many developing countries. Table 8 shows emission standards for new vehicles in Asia; both existing and to be implemented.

Improving fuel quality will immediately reduce emissions from all fuel burning equipment without the installation of any additional equipment or change in utilisation.

In the longer term it is also possible to change the pattern of urban development to reduce the amount of travel and therefore the amount of fuel being used and emissions produced.

As identified, the most effective air quality management strategies should use a range of emissions control strategies to achieve acceptable air quality as defined by an air quality standard.

The experience with vehicle inspection programs (see Module 4b: *Inspection & Maintenance and Roadworthiness*) in developing countries has often been poor (an anecdotal reason for that may be inferred from Figure 13), and the use of sophisticated vehicle control technologies is expected to have greatest utility in only the more advanced of the developing countries. The most promising approaches for controlling vehicle emissions in developing countries are likely to be through policies to promote greater use of transit, walking and cycling, and restrict the growth of private automobile use. The strengthening of traffic management programs, improvements in public transport, restrictions on motorised traffic and encouragement of the use of cleaner-fuelled vehicles in fleets are also cost-effective means of reducing vehicle emissions, as set out in the various modules of this *Sourcebook*.

Table 8: Emission standards for new vehicles (light duty).

<http://adb.org/vehicle-emissions/ASIA/standards.asp?pg=allasia#f1>, accessed 14-Apr-04

Country		95	96	97	98	99	2000	01	02	03	04	05	06	07	08	09	10	
European Union	Euro 1	Euro 2				Euro 3						Euro 4			Euro 5			
Bangladesh										Euro 2 (under discussion)								
Hong Kong, China		Euro 1	Euro 2					Euro 3					Euro 4					
India ^a								Euro 1					Euro 2					E 3
India ^b						E 1	Euro 2					Euro 3						
Indonesia												Euro 2						
Malaysia				Euro 1			Euro 2											
Nepal							Euro 1											
Philippines										Euro 1								
PRC ^a								Euro 1				Euro 2						
PRC ^c								Euro 1		Euro 2		Euro 3						
Singapore ^e	Euro 1							Euro 2										
Singapore ^g	Euro 1							Euro 2						Euro 4				
Sri Lanka										Euro 1								
Taipei, China						US Tier 1									US Tier 2 for diesel ^d			
Thailand	Euro 1							Euro 2			Euro 3					Euro 4		
Viet Nam ^e					Euro 1													
Viet Nam ^f												Euro 1						

^a Entire country

^b Delhi and other cities; Euro 2 introduced in Mumbai, Kolkata and Chennai in 2001; Euro 2 in Bangalore, Hyderabad, Khampur, Pune and Ahmedabad in 2003, Euro 3 to be introduced in Delhi, Mumbai, Kolkata, Chennai, Bangalore, Hyderabad and Ahmedabad in 2005

^c Beijing and Shanghai

^d Gasoline vehicles under consideration

^e for gasoline vehicles

^f for diesel vehicles

^g for all types of diesel vehicles

Fig. 13

Can you see the reading?



“Policy measures to control vehicle ownership and use, and to encourage other forms of transport, are also commonly employed to support vehicle emissions programs.”

Most developed countries apply regulations for vehicle emissions as part of an international process under which vehicles and their component parts are required to be approved before marketing. Some countries also require regular in-service inspection and maintenance for emissions and safety, as a condition for continued operation of vehicles. This includes retrofitting or scrapping of non-conforming vehicles. Technology requirements for new vehicles in most developed countries include three-way catalytic

converters, with closed loop and charcoal canister for petrol-fuelled passenger cars. There are also requirements that apply to diesel, light- and heavy-duty trucks and buses. Conventional two-stroke motorcycles are sometimes banned. There are programs to control fuel losses during refuelling. Virtually all developed countries now require use of unleaded fuels for new cars, and encourage their use by economic instruments.

Policy measures to control vehicle ownership and use, and to encourage other forms of trans-

port, are also commonly employed to support vehicle emissions programs. For example, tight control over vehicle ownership and use in Singapore, especially within the central business district during the day, has contributed to reducing air pollution from motor vehicles (see Module 1d: *Economic Instruments*). Faced with dire air pollution problems, some Latin American cities have introduced programs such as no-drive days as a last resort on days when air pollution reaches extreme levels. More socially acceptable measures include incentives to develop and use public transportation, such as buses, light rail and bicycles. Land-use planning approaches that encourage public transport and provide disincentives for use of private vehicles are attractive and cost-effective long-term measures (see Module 2a: *Land Use Planning and Urban Transport*).

Box 9: Factors influencing motor vehicle emissions

A range of factors influence emission of pollutants from mobile sources including, for example:

Vehicle/fuel characteristics

- engine type and technology; fuel injection, type of transmission system, other engine features
- exhaust, crankcase, catalytic converters, exhaust gas recirculation
- age, mileage, engine mechanical condition and adequacy of maintenance
- fuel properties and quality (see generally the *Sourcebook* modules on *Vehicles and Fuels*).

Fleet characteristics

- vehicle mix (number and type of vehicles in use)
- vehicle utilization (kilometres per vehicle per year) by vehicle type
- age profile of the vehicle fleet
- emission standards in effect and incentives/disincentives for purchase of cleaner vehicles
- adequacy and coverage of fleet maintenance programs
- clean fuels programs.

In developing cities, small numbers of the vehicle fleet ('high-emitters') often contributing high proportions of contaminants.

Operating characteristics

- altitude, temperature, humidity (for NO_x)
- vehicle use patterns—number and length of trips, number of cold starts, speed, loading, aggressiveness of driving behaviour
- degree of traffic congestion, capacity and quality of road infrastructure, and traffic control systems
- transport demand management programs.

4.5 Control of area sources

The control of area sources of air pollutants involves a number of strategies, as the characteristics of area sources are highly variable. The options for controlling area sources can be classified as technical, regulatory, educational and market-based strategies (see Table 9).

Table 9: Strategies for controlling area sources.

Strategy	Description
Technical	Search alternatives to existing polluting activities Implement cleaner production, pollution prevention and Best practices
Regulatory	Banning of emissions, banning of open burning, penalties, control of fuel quality
Educational	Informing the public about emissions, pollution impacts, poor quality fuels
Market-based	Polluter pays, incentives for using clean fuels, facilitate emission licenses for adopting best practices, true cost pricing of resources

5. Education and communication

Effective education and communication are important tools in raising public awareness of air quality issues. The successes of air quality management strategies have often involved action at all levels in the community. In many cases, central government action is triggered by local complaints from citizens. Actions to control air pollution have sometimes been possible only by establishing communications between local communities, local government and the national government agency responsible for air quality issues. Two-way communication between local communities and those responsible for air quality management is essential, and it requires use of many techniques to be successful.

“The successes of air quality management strategies have often involved action at all levels in the community.”

Reporting air quality information in a form that is generally understandable by the public is a difficult problem. One approach is the use of the pollutant standard index. This system enables a wide range of air quality components, concentrations and averaging times to be reported to the public as one simple normalised figure. Although a pollution index provides a relatively simple and easy way to disseminate information on the level of air pollution, there are difficulties associated with the setting of these indices. Most of these difficulties arise from the fact that the composition of the pollutant mixture varies in both time and space, and that the components of the mixture have different health impacts.

For a set of tools and approaches for raising public awareness and other means of education and communication campaigns, please refer to Module 1e: *Raising Public Awareness about Sustainable Urban Transport*.

6. Priority setting in AQM

6.1 Introduction

Priority setting in air quality management will differ for each city because a city or country sets priorities in air quality management according to its policy objectives, needs and capabilities. Priority setting in air quality management refers to prioritising the health risks of air pollution, with corresponding prioritisation of the pollutants, and concentrating on the most important sources of the pollutants. High priority health risks will be given to those compounds for which “high” toxicity and “high” exposure of the population are entailed. Conversely, low priority health risks involve agents of “low” toxicity and “low” exposure. “Medium” priority risks include compounds for which toxicity is “low” and exposure is “high,” or vice versa. Basic elements of the estimation and prioritisation of health risks comprises four steps: hazard identification, exposure assessment, exposure-response analysis, and risk characterisation (see Figure 15) (Younes *et al.* 1998; WHO 2000a).

In order to ensure a basis for decisions on risk-reducing measures and strategies for air quality management, including a consistent and transparent derivation of air quality standards, a framework for a political, regulatory and administrative approach is required. In such a framework the following considerations need to be included:

- legal aspects
- the potential of air pollution to cause adverse health effects in populations at risk
- exposure-response relationships of pollutants and pollutant mixtures and the actual exposure responsible for related health and/or environmental risks
- the acceptability of risk
- cost-benefit considerations
- stakeholder contribution in setting standards.

These issues are discussed in the following sections.

6.2 Legal aspects

A legislative framework usually provides the basis for policies in the decision-making process of setting air quality standards at the municipal, regional, national or supranational level. The setting of standards strongly depends on the risk

management strategy adopted which, in turn, is influenced by country-specific socio-political and economic considerations and/or international agreements. Legislation and air quality standards vary from country to country, but in general, the WHO *Guidelines for Air Quality* (WHO 2000a) and the information provided by AMIS can provide guidance on how to consider the following issues in developing countries:

- **Identification of the pollutants to be considered** – Provided the types of sources are known, the guidelines and rapid assessment procedures of AMIS can identify the most important sources and estimate their emissions.
- **Existing background concentrations of air pollutants** – The knowledge on global concentrations from the AMIS database on air pollutant concentrations and the WMO Global Atmospheric Watch can serve to estimate background concentrations. The Decision Support System for Industrial Pollution Control (DSS IPC) is a useful and user-friendly instrument to estimate concentrations on the basis of initial emissions estimates and simple dispersion models (WHO 1995b).
- **Applicable monitoring methodology and its quality assurance** – The most appropriate and least-cost means for ground-based monitoring can be selected on the basis of the AMIS-GEMS/AIR Methodology Handbook Review Series (UNEP/WHO, 1994a; b; c; d; WHO 2003). In these publications UNEP and WHO give simple advice on monitoring, siting and quality assurance when existing information and means are minimal. Publications from other agencies also provide insight into monitoring strategies (BMU 1997; AEA 1996; WHO/PAHO 1997; WHO/SEARO 1996).
- **The numerical value of the standards for the various pollutants or the decision-making process** – Air quality standards may be based on WHO air quality guidelines, but other aspects, such as technological feasibility, costs of compliance, prevailing exposure levels, social, economic cultural conditions, are also relevant to the standard setting procedure and the design of appropriate emission

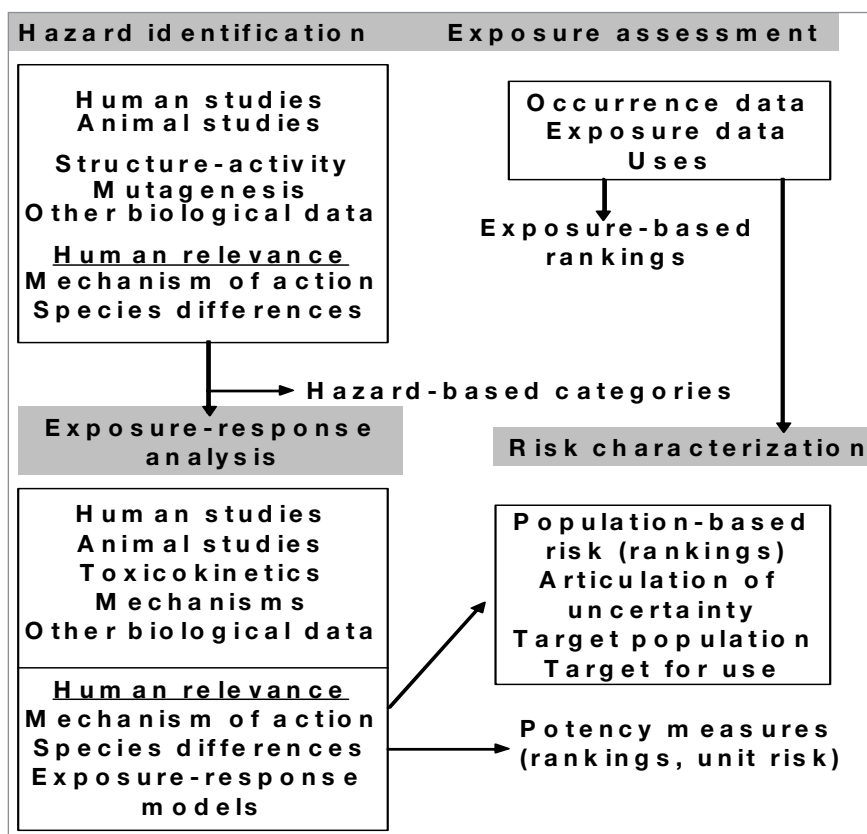


Fig. 15
Basic elements of the estimation and prioritisation of health risks.

abatement measures. Several air quality standards may be set, e.g. effect-oriented standards as a long-term goal and less stringent standards to be achieved within shorter time intervals. As a consequence, air quality standards differ widely from country to country (WHO 1998). The *Guidelines for Air Quality* enable country-specific air quality standards to be derived based on existing or estimated concentrations. The European Union and Switzerland have adopted most of the WHO guidelines as standards.

- **Emission control measures and emission standards** – Given the types of sources and estimations of their emissions via the rapid assessment method and their spatial distribution, the DSS IPC can serve to simulate the efficiency of control measures and help to set appropriate emission standards for the main sources (WHO 1993a;b; WHO/PAHO/WB 1995).
- **Identification and selection of adverse effects on public health and the environment to be avoided** – Health effects range from death and acute illness through chronic and lingering diseases, minor and tempo-

rary ailments, to temporary physiological or psychological changes. Standards should be based on adverse effects of air pollutants. Consideration of health effects that are either temporary or reversible, or involve biochemical or functional changes with uncertain clinical significance, need not be considered in the first step of deriving a standard in developing countries. Judgements as to adversity of health effects may differ between countries because of, for example, different cultural backgrounds and different levels of health status. Air quality standards strongly influence the implementation of air pollution control policies. In many countries, the exceeding of standards is linked to an obligation to develop action plans at the municipal, regional or national level to abate air pollution (clean air implementation plans).

- **Identification of the population to be protected from adverse effects on health** - The most sensitive subgroups of the population are infants, pregnant women, disabled persons and the elderly. Other groups may be judged to be at higher risk due to enhanced exposure (outdoor workers, athletes and children). The sensitive groups in a population may vary across countries due to differences in medical care, nutritional status, lifestyle and/or prevailing genetic factors, or due to the existence of endemic diseases or the prevalence of debilitating diseases. The air quality guidelines have been set with respect to the sub-groups more sensitive to air pollution. Setting standards on the basis of the guidelines and considering the consequence of uncertainty provide at least some protection for these sub-populations.

6.3 Adverse effects on health

In setting air quality standards, it is usually decided to protect the population from adverse effects due to air pollution. The distinction between adverse and non-adverse effects, however, poses considerable difficulties (WHO 1987). Very often, the term “adverse health effect” is used in clean air acts and regulations without giving a definition. Recently, an expert committee of the American Thoracic Society endeavoured to identify factors, which could

help to define an adverse respiratory effect due to air pollution, although specific boundaries for separation adverse from non-adverse effects are not given (ATS 2000). According to the deliberations of the committee, adverse effects of air pollution at the population or individual level include:

- any effect on mortality
- detectable effects on clinical measures
- any detectable level of permanent lung function loss
- decreased health-related quality of life
- reversible loss of lung function in combination with the presence of symptoms
- a shift in the risk factor distribution, and hence the risk profile of the exposed population.

“Air quality standards strongly influence the implementation of air pollution control policies.”

The WHO defined adverse health effects in several publications (WHO 1978; 1994; WHO/EURO 1987). The most recent definition is:

“An adverse effect is any change in morphology, physiology, growth, development or life span of an organism which results in impairment of functional capacity, or impairment of capacity to compensate for additional stress, or increase in susceptibility to the harmful effects of other environmental influences.”

The WHO notes, however, that even this elaborate definition incorporates significant subjectivity and uncertainty in defining an adverse effect of air pollutants on health. More serious effects are generally accepted as adverse. But when the health effects are either temporary and reversible, or involve biochemical or functional changes with uncertain clinical significance, a judgement is required on whether these less serious effects should be considered when deriving air quality standards. Judgements as to whether the health effects are adverse may differ between countries, because of factors including different cultural backgrounds and different levels of health status. The use of biomarkers or other indicators of exposure may provide a basis for setting air quality standards. Changes in such indicators, while not necessarily being adverse

effects in themselves, may be harbingers of adverse effects on health. An example is blood lead content as an indicator of likely impairment of neuro-behavioural development.

6.4 Population at risk

The population at risk is that part of the population that is exposed to enhanced concentrations of air pollution. Each population has sensitive groups or sub-populations that are at higher risk for developing health effects following exposure to air pollutants. Sensitive groups include individuals impaired by concurrent diseases or other physiological limitations, and those with specific characteristics, which makes them more vulnerable to air pollutants (e.g. infants, elderly people). Other groups may be judged to be at higher risk due to enhanced exposure (outdoor workers, athletes, children). The sensitive groups in a population may vary across countries due to differences in medical care, nutritional status, lifestyle, and/or prevailing genetic factors, or due to the existence of endemic diseases or the prevalence of debilitating diseases.

6.5 Exposure-response relationships

In general, there is limited information available on exposure-response relationships for inorganic and organic pollutants, especially at low exposures. The WHO *Guidelines for Air Quality* provide linear exposure-response relationships (together with their 95% confidence intervals) for particulate matter instead of guideline values. For PM_{10} and $PM_{2.5}$ the changes of various health endpoints such as daily mortality and hospital admissions with each $10 \mu g/m^3$ increase in concentrations are quantified by these relationships (WHO 2000a). An example of these exposure-relationships is given in Figure 15.

This figure is to be interpreted as follows: Daily exposure to $50 \mu g PM_{10}/m^3$ would correspond to an increase of e.g. about 5% in daily mortality or 10% in daily hospital admissions. For a policy maker who is going to decide on the specific value of an air quality standard for PM_{10} , the exposure-response relationship in Figure 16 would indicate, which percent increase is to be permitted to occur in the policy makers's population. This is a radically new viewpoint

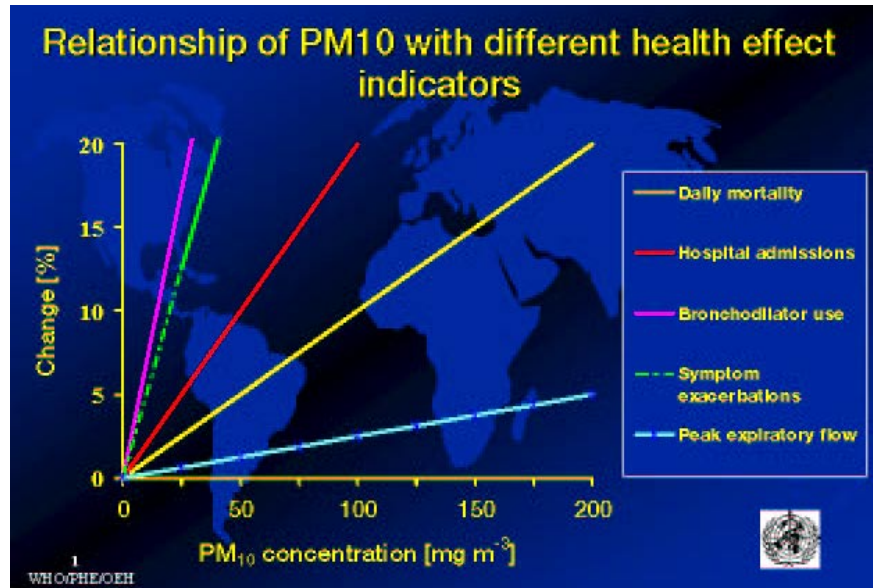


Fig. 16

Percentage change of various health endpoints with PM_{10} concentration.
WHO/PHE/OEH

Table 10: WHO historical guideline values for particulate matter in outdoor and indoor air.

Source	Pollutant	Guideline value [$\mu g/m^3$]	Averaging time	Statistical meaning
WHO 1972	Black smoke; in conjunction with sulphur dioxide	120 40	24 hours 1 year	98-percentile Arithmetic mean
WHO 1979	Black smoke; in conjunction with sulphur dioxide	100-150 40-60	24 hours 1 year	98-percentile Arithmetic mean
	TSP; in conjunction with sulphur dioxide	150-230 60-90	24 hours 1 year	98-percentile Arithmetic mean
WHO/EURO 1987	Black smoke; in conjunction with sulphur dioxide	125 50	24 hours 1 year	Not stated Arithmetic mean
	TSP; in conjunction with sulphur dioxide	120	24 hours	Not stated
	PM_{10} ; in conjunction with sulphur dioxide	70	24 hours	Not stated

due to the non-existence of thresholds for the onset of effects on human health caused by particulate matter, which does not allow the setting of guideline values for particulate matter, in contrast to previous publications of the WHO. In this situation, it is helpful, however, to quote historic guideline values for PM given in various publications of the WHO (superseded by WHO 2000a). This is done in Table 10.

It should be kept in mind that the above exposure-response relationships are not exact lines but are given in WHO 2000a with their 95% confidence intervals (a measure of the uncertainty of the curves). Further exposure-response relationships for PM_{2.5} and sulphates, the limitations of the validity of the relationships, and the implications for the decisions of politicians upon setting air quality standards by using these exposure-response relationships are extensively discussed in WHO 2000a, Schwela 2000a; b).

For carcinogenic compounds, the quantitative assessment of the unit risks provides an approximate estimate of responses at different concentrations. These relationships, which are extensively discussed in the *Guidelines for Air Quality*, give guidance to decision-makers to determine the acceptable risk for the population exposure to particulate matter and to carcinogenic compounds and set the corresponding concentrations as standards.

6.6 Exposure characterisation

Exposure to air pollution is not only determined by ambient air pollutant concentrations. In deriving air quality standards that protect against the adverse health impacts, the size of the population at risk (i.e. exposed to enhanced air pollutant concentrations) is an important factor to consider. The total exposure of people also depends on the time people spend in the various environments: outdoor, indoor, work place, in-vehicle and other. Exposure also depends on the various routes of intake and absorption of pollutants in the human body: air, water, food and tobacco smoking. Therefore, it should be kept in mind that there is a weak relationship between pollutant concentrations and personal exposures. An example of this weak relationship is provided by indoor air pollution when biomass fuels are used for heating and cooking. However, in developing countries, ambient air concentrations are at present the only readily available surrogate for estimating personal exposures.

6.7 Risk assessment

Air quality guidelines and standards are based on health or ecological risk models. These models provide a tool that is increasingly used

to inform policy-makers on some of the possible consequences of air pollutants at different pollutant levels which correspond to various options for standards. Using this information, the policy-maker is able to perform a regulatory risk assessment of air pollution induced effects. Regulatory risk assessment in air pollution management includes the following steps: hazard identification, development of exposure-response relationships, exposure analysis and quantitative risk estimation.

The first step, ***hazard identification*** – and, to some extent, the second step, ***exposure-response relationships*** – have already been provided in the air quality guidelines. The third step, ***exposure analysis***, may predict changes in exposure associated with reductions in emissions from a specific source or group of sources under different control options. The final step in regulatory risk assessment, ***risk analysis***, refers to the quantitative estimation of the risk of health effects in the exposed population (e.g. the number of individuals who may be affected).

Regulatory risk assessments are likely to result in different risk estimates across countries and economic regions owing to differences in exposure patterns and in the size and characteristics of sensitive groups.

6.8 Acceptability of risk

In the absence of thresholds for the onset of health effects – as in the cases of fine and ultra-fine particulate matter and carcinogenic compounds – the selection of an air quality standard that provides adequate protection of public health requires the regulators to determine an acceptable risk for their population. Acceptability of the risks, and therefore the standards selected, will depend on the expected incidence and severity of the potential effects, the size of the population at risk, and the degree of scientific uncertainty that the effects will occur at any given level of air pollution. For example, if a suspected but uncertain health effect is severe and the size of the population at risk is large, a more cautious approach would be appropriate than if the effect were less troubling or if the population were smaller.

The acceptability of risk may vary among countries because of differences in social norms,

degree of risk aversion and perception in the general population and various stakeholders. Risk acceptability is also influenced by how the risks associated with air pollution compare with risks from other pollution sources or human activities.

6.9 Cost-benefit analysis

Cost benefit analysis is a tool to aid in decision-making regarding the impact of air pollution. Air pollution results in potentially serious health-related social costs in the form of illness (**morbidity**) or premature death (**mortality**). Such costs can be quantified through various approaches. An increasingly common approach – though as with other approaches not without problems – is the use of Willingness to Pay surveys. By determining how much people are prepared to pay to avoid a particular level of risk, that extra risk (of death or illness) can be quantified in monetary terms. The economic valuation of health effects of air pollution must be factored into the cost benefit analysis of air pollution control mitigation measures.

The input parameters for prediction of the costs associated with pollution are often only estimations, so monetary values attributed to air pollution costs are only approximations.

An overview of steps in the determination of environmental/damage assessment (Shah *et al.*, 1997) includes the following:

1. *Identification of the population and assets at risk due to pollution, through the use of such tools as impact matrices.*
2. *Determination of the number of people or assets within the potential impact area. For example, those at risk may be all the residents of a polluted area. Residents living in close proximity to a major road bounded by an isohyet (a line joining places of equal value on a map) for PM_{10} in excess of the determined health standard could be considered at risk.*
3. *Identification of dose-response functions that relate ambient levels of pollution to impacts on human health or on assets. As impacts are related to the pollution concentrations, this often takes the form of a mathematical algorithm to describe dependant impacts.*
4. *Determination of the marginal physical impacts by multiplying the population at risk and/or the assets at risk by with the impact per unit of pollution from 3.*

5. *Determination of the monetary losses attributed to each of marginal physical impacts. As noted previously, a number of the physical costs can be directly equated to market prices (clean-up costs, loss of crops at the market value), but impacts on human health are much more difficult to equate.*
6. *Calculation of monetary value of benefits/damage due to the change in air pollution by multiplying figures derived in 4 by those in 5.*

In the absence of measured or locally derived values for any of the above, approximate values can be determined from equivalent or similar studies elsewhere, and applied until more relevant values can be determined. Care must be taken when interpreting the outcomes of values determined on the basis of values determined from other cultures or socio-economic groups. For example, dose-response estimates from the United States assume an average body mass of 70 kg. This is unreasonable in the context of consideration of impacts on countries where average weights may be significantly lower.

In the analysis of options we need to consider the costs and benefits of technical and policy measures to reduce emissions.

The costs of pollution mitigation strategies needs to be considered against the benefits to the community in the form of reduced death

Table 11: Comparison of gaseous emission reducing devices.

Adapted from World Bank, 1997

MEASURE	
Application of 3 way converters	
Emission controlled	Tail pipe emissions (CO, VOC, NO _x , and lead) of ignition powered vehicles (4-stroke gasoline)
Effectiveness	90% reduction of tailpipe emissions of CO, NO _x , and VOC Must be used in conjunction with unleaded gasoline
Viability	Strict inspection & maintenance requirements, with a supply of unleaded gasoline. Catalyst can become contaminated through use of leaded or poor quality fuels.
Costs	For tailpipe catalyst and fuel control systems, up to USD 400.
Application of catalytic converters (oxidation catalysts)	
Emission controlled	Tail pipe emissions (CO, VOC, NO _x , and lead) of ignition powered vehicles (including some mixed fuel motors)
Effectiveness	90 % reduction of tailpipe emissions of CO, NO _x , and VOC Must be used in conjunction with unleaded gasoline
Viability	Strict maintenance and inspection requirements, together with a supply of unleaded gasoline. Catalyst can become contaminated through use of leaded or poor quality fuels. This technology requires fewer modification to vehicle engines.
Costs	About USD 200 per vehicle

Table 12: Effects of enforcing the reduction strategy for pollutants in Taipei

Source: Taipei City Environmental Protection Bureau

Pollutant	Comment
Total Suspended Particulates	Reductions achieved mainly from point sources, the control programme for construction sites, and the improvement of the emission test for diesel vehicles
PM ₁₀	Reductions achieved mainly from the examination and spot checks of new automobiles, but also from the emission testing of diesel vehicles and the phase-out of diesel buses
Oxides of sulphur (SO _x)	Reductions achieved mainly from the control of the sulphur contained in diesel fuel and partly from the control of point sources
Oxides of nitrogen (NO _x), Non-methane hydrocarbons (NMHC), CO	In addition to reductions from fixed sources, NO _x , NMHC, and CO have also been reduced from mobile source. (e.g. by enacting stricter emission standards for exhausts). A secondary cause is the effect of exclusive lanes for buses and the chessboard-style road network of bus routes (Figure 16)

**Fig. 17**

Taipei has developed a bus lane network of 57 km since March 1998 (at an average cost of US\$500,000 per km), in the context of a wider policy framework emphasising: a network of dedicated bus lanes; high quality transfer environments; 'green' buses; Intelligent Transport System applications; transit-oriented development; and air quality and environmental improvements. The bus lane network has also resulted in a reduction in the number and severity of accidents.

Jason Chang, 2002

Enforcement and control strategies in Taipei

The Environmental Protection Administration (EPA) of Taiwan is the main regulatory agency that oversees air pollution related policies. The regulatory framework of all air quality management policies are based on the following legislation: the Air Pollution Control Act (1992), Implementation Rules for the Air Pollution Control Act (1993), and the Ordinance for the Management of Agencies in Charge of the Testing of Pollutant Emissions and Noises Produced by Automobiles and Motorcycles (1998). (Taipei's world-leading motorcycle emission standards regime is described in Module 4c: *Two- and Three-Wheelers*.) Since Taipei was reorganized into a municipality, some air quality laws and regulations were amended to address urban air quality issues, and a specialized agency in the Taipei City Government has been set up to take charge of all environmental cleaning jobs, such as pollution control for air and water, control of unpleasant noise, environmental sterilization, and disposal of human manure and garbage. The Technical Division of the Bureau of Environmental Protection (EPB) of Taipei City oversees environmental quality inspections and monitoring.

Lin Chun-Yi, the current head of Taiwan's EPA and a former head of Greenpeace Taiwan, has made clear his intent to see that strict environmental standards be imposed on many environmentally controversial projects currently under review. Taiwan also is expected to continue its shift away from energy sources such as nuclear power and coal to more environmentally benign sources such as natural gas. In addition, an increasingly powerful environmental lobby intent on pressuring Taiwan's Government into more aggressively enforcing environmental regulations has played a significant role in forming air quality policies. Furthermore, much of Taiwan's environmental future could also depend on its relationship with mainland China, as trade ties are opened wider and political questions are answered.

For the past few years, the Taipei EPB has implemented a program to improve air quality and has succeeded in continuously reducing polluting emissions (Table 10). The major measures promoted to control air pollution include:

- **Controlling mobile sources** – includes the promotion of low emission vehicles, the enhancement of testing and the elimination of high contamination vehicles, and so on.

- **Controlling point sources** – reinforces the inspection of businesses such as restaurants, automobile repair shops, laundries, gas stations, and industrial facilities located in the city, as well providing assistance in improving emission controls.
- **Controlling fugitive sources** – controlling pollutant emissions from construction sites and associated measures such as street-sweeping.
- **Integrative management project** – overall air quality assessment and capacity building, and public awareness raising.

In order to reinforce the promotion of the National Environmental Protection Project, the EPB focuses on the characteristics of pollution in the city and the goal of reducing air pollutant emissions, and evaluates each enforcing instrument in order to draw up the 'Policy of Restrain Air Pollution in Taipei Municipal'. As mentioned above, apart from a few point and fugitive sources, air pollution in the city mainly comes from motor vehicles. In order to control the mobile sources, the EPB has been formulating progressively stricter standards for vehicle emissions. Compared with the policies of other countries, the standards of Taipei are regarded as relatively strict. However, the experience of other countries has shown that, in addition to controlling mobile source emissions, traffic management practices are an important aspect of the overall transportation strategy. Traffic management schemes can reduce traffic congestion, reduce engine idling time and reduce the number of kilometres travelled for the whole traffic fleet. Also, fuel consumption will be reduced so that total emissions to the atmosphere can be lessened.

With the air-pollution allowance subsidised by the Bureau of Transportation, Taipei city continuously enhances related control measures. The EPB has implemented a number of strategies to control mobile source emissions (Figure 17). These measures have included educating the public to undertake periodical maintenance and examination of their vehicles in order to ensure that the vehicle complies with environmental protection regulations. EPB projects in 2001 included:

- an examination of diesel vehicles exhaust fumes
- an electric motorcycle promotion program
- publicising periodic examinations of motorcycle exhaust (Figure 18)
- auditing and assessment of motorcycle exhaust
- periodic examination of petrol stations in Taipei City

- controlling air pollution from mobile sources through roadside inspection of motorcycles.
- Future strategies to control mobile sources in Taipei include:

- promotion of low-pollution vehicles (electric motorcycles & bicycles, liquefied petroleum gas cars, compressed natural gas buses, and other alternative fuels)
- surveys on pollution characteristics to facilitate formulating control counter measures
- replacement of high-pollution vehicles with stricter emissions standards
- promotion of automobile pollution control devices, particularly for diesel emissions
- reduction of motorcycle pollutant emissions through regular inspections, regulations and publicity campaigns
- medium-to-long term control strategies for mobile sources.

An overall assessment of transportation systems and traffic control strategies are also underway in Taipei (see Figure 17).

In addition to the continual strengthening of emission controls for various air pollutants, the air pollution control strategy in Taipei City will also be required to address the emissions of greenhouse gases (GHGs). The EPB will increase citizens' awareness of climate change issues in order to reduce GHG emissions. Because mobile sources are the primary cause of air pollution in the city, the EPB will co-operate with the other city authorities concerned in order to increase the control of mobile sources and co-ordinate activities. Furthermore, due to advances in technology and lifestyle changes, the EPB is examining the most appropriate management and control measures. The aim of such actions is to maintain citizens' health, enhance quality of life and protect the environment.



Fig. 18

Controlling motorcycle emissions is a key part of Taipei's environmental program.

Gerhard Metschies, GTZ Urban Transport Photo CD

and illnesses, greater productivity, or related impacts. For example, Table 11 presents a summary of alternative passenger vehicle emission reducing devices, and their costs.

6.10 Review of standard setting

The setting of standards should encompass a process involving stakeholders (industry, local authorities, non-governmental organisations and the general public) that assures – as far as possible – social equity or fairness to all the parties involved. It should also provide sufficient information to guarantee understanding by stakeholders of the scientific and economic consequences. The earlier stakeholders are involved, the more likely is their co-operation. Transparency in moving from air quality guidelines to air quality standards helps to increase public acceptance of necessary measures. Raising public awareness of air pollution-induced health and environmental effects (changing of risk perception) serves to obtain public support for the necessary control action, e.g. with respect to vehicular emissions. Information provided to the public with regard to air quality during pollution episodes and the risks entailed lead to a better understanding of the issue (risk communication).

Air quality standards should be reviewed and revised regularly as new scientific evidence on the effects on public health and the environment emerges.

6.11 Enforcement of air quality standards: clean air implementation plans

It is the enforcement of air quality standards that ensures that actions are taken to control polluting sources in order to comply with the standards. The instruments used to achieve this goal are Clean Air Implementation Plans (CAIPs). The outline of such a plan is usually defined in regulatory policies and strategies. CAIPs were implemented in several developed countries during the 1970s and 1980s. At that time the air pollutant situation was characterised by a multitude of different types of sources leading to an extremely difficult causal assessment of public health risks with respect to single source or group of sources. As a consequence, and on the basis of the polluters pay principle, sophisticated tools were developed to assess the pollution

sources, air pollutant concentrations, health and environmental effects and control measures. The tools also made a causal link between emissions, the air pollution situation and the efficiency of the necessary control measures. The CAIP has proved to be a most efficient instrument for air pollution abatement in developed countries (Schwela and Köth-Jahr 1994).

In developing countries, the air pollution situation is often characterised by a multitude of sources of few types and sometimes few sources. Using the experience obtained in developed countries, the control action to be taken is often very clear. As a consequence, lower monitoring would be sufficient, and dispersion models could help to simulate spatial distributions of concentrations in the case where only limited useful monitoring data are available. Only simplified CAIPs would have to be developed for cities of developing countries or countries in transition. The main polluters at present in many cities of the developing world are old vehicles and some industrial sources such as power plants, brick kilns and cement factories. In such situations, a simplified clean air implementation plan could include:

- a rapid assessment of the most important sources (WHO 1993a; b)
- a minimal set of air pollutant concentrations monitors (UNEP/WHO 1994a; c; d)
- simulation of the spatial distribution of air pollutant concentrations using simple dispersion models (WHO/PAHO/WB 1995)
- comparison with air quality standards
- simulation of the spatial distribution of air pollutant concentrations using simple dispersion models (WHO/PAHO/WB 1995)
- control measures and their costs (WHO/PAHO/WB 1995)
- transportation and land-use planning.

Examples of successful simplified CAIPs in developing countries are provided in a recent report on air quality management capabilities in 20 major cities (UNEP/WHO/MARC, 1996), on the 3rd edition of the AMIS CD-ROM for 70 cities (WHO 2001), and in the *Benchmarking Report* of APMA (UNEP/WHO/SEI/KEI 2002b). In the preceding case study (“Enforcement and Control Strategies in Taipei”), recent successful enforcement and control strategies of Taipei are described.

7. International programs and selected national initiatives

7.1 United Nations Centre for Human Settlements/United Nations Environment Program

The Sustainable Cities Program (SCP) is a joint UN-HABITAT/UNEP project for enhancing capacities in urban environmental planning and management. The program is founded on cross-sector and stakeholder participatory approaches. It promotes good urban governance. Currently the SCP operates in 20 main demonstration and 25 replicating cities around the world, including cities in China, Chile, Egypt, Ghana, India, Kenya, Korea, Malawi, Nigeria, the Philippines, Poland, Russia, Senegal, Sri Lanka, Tanzania, Tunisia and Zambia. Preparatory activities are underway in Bahrain, Cameroon, Iran, Kenya, Lesotho, Rwanda, South Africa and Vietnam (UNHCS/UNEP 2002).

Important publications of this project include the SCP Source Book Series. In Volume 6 of this series urban air quality management is addressed. This document covers improvement of:

- information and expertise for AQM
- strategies, action planning and decision making
- implementation and institutionalisation.

Case studies for Shenyang, Manila, and Colombo illustrate the approach chosen in the SCP project (UNHCS/UNEP 2001).

7.2 World Meteorological Organisation

The WMO GAW Urban Research Meteorology and Environment (GURME) project was recently started in response to the requests of the National Meteorological and Hydrological Services (NMHSs). The WMO established GURME as a means to enhance the capabilities of NMHSs to handle meteorological and related aspects of urban pollution. NMHSs have an important role to play in the study and management of urban environments because they collect information and have capabilities that are essential to the forecasting of urban air pollution and the evaluation of the effects of different emission control strategies. More details about the GURME program can be found at its website (GURME 2002).

7.3 United Nations Environment Program/World Health Organization: Global Environmental Monitoring System Air (GEMS/AIR)

GEMS/AIR evolved from a World Health Organization urban air quality monitoring pilot project that started in 1973. From 1975 to 1995, the World Health Organization (WHO) and the United Nations Environment Program (UNEP) jointly operated the program as a component of the United Nations system-wide Global Environment Monitoring System (GEMS). GEMS is a component of the UN Earthwatch system.

The original objectives of GEMS/AIR were to:

- strengthen urban air pollution monitoring and assessment capabilities in participating countries
- improve the validity and comparability of data amongst cities
- provide global assessments on levels and trends of urban air pollutants, and their effect on human and ecosystem health
- collect air pollution concentration data for sulphur dioxide and suspended particulate matter.

Between 1973 and 1995 GEMS/AIR was the only global program which provided long-term air pollution monitoring data for cities in developing countries. Thus the program enabled the production of global assessments of the levels and trends of urban air pollution and air pollution management capabilities.

Numerous papers have been published in the past 20 years by GEMS/AIR, the most recent ones being:

- Urban Air Pollution in Megacities of the World, 1992
- GEMS/AIR Methodology Review Handbook Series, 1994/5
- GEMS/AIR City Air Quality Trends 1992/3
- GEMS/AIR Report on Air Quality Management Capabilities of Cities, 1996.

The GEMS/AIR program was terminated in 1997.

7.4 World Health Organization: Air Management Information System

The Air Management Information System (AMIS), a program set up by WHO as a successor to the UNEP/WHO GEMS/AIR program, provides valuable information on air pollutant monitoring and management in major and megacities (WHO 2001). AMIS is a set of databases that was developed by WHO under the umbrella of the Healthy Cities Program (Figure 19). The objective of AMIS is to transfer information on air quality management (air quality management instruments used in cities, ambient air pollutant concentrations, health effects, air quality standards, rapid emission assessment tools, and global, regional and national estimates of the burden of disease due to air pollution) between countries and cities. In this context, AMIS acts as a global air quality information exchange system. AMIS program activity areas include:

- co-ordinating databases with information on air quality issues in major and megacities
- acting as an information broker between countries
- providing and distributing technical documents on air quality and health
- publishing and distributing Trend Reviews on air pollutant concentrations
- organising training courses on air quality and health.

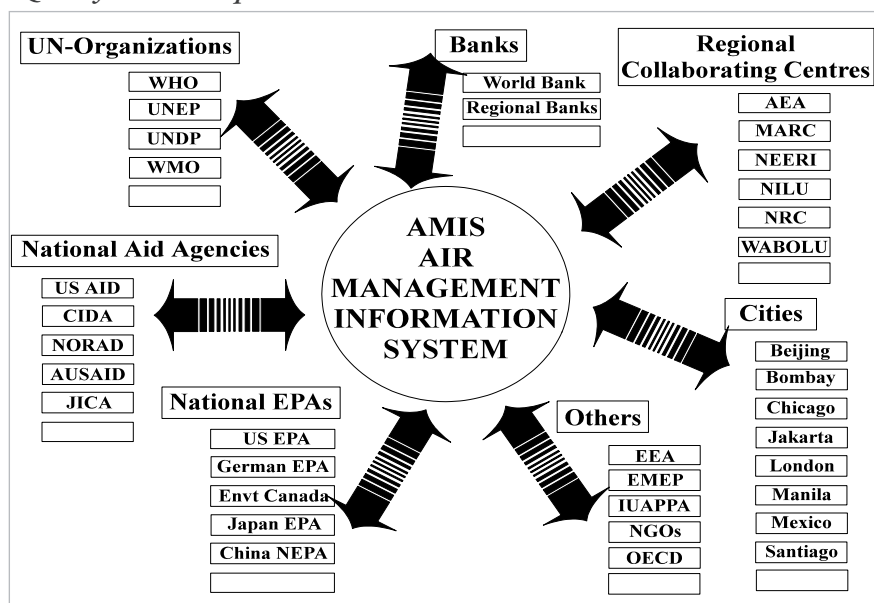
AMIS provides a set of user-friendly Microsoft Access based databases. A core database contains summary statistics of air pollution data such as annual means, 95-percentiles, and the number of days on which WHO guidelines are exceeded. Any compound for which WHO air quality guidelines exist can be entered into the open-ended database. Data handling is easy and data validation can be assured with relatively little means. In the present version, data (mostly from 1986 to 1998) from about 150 cities in 45 countries are represented (WHO 2001). Another AMIS database covers the air pollution management capabilities and procedures of cities. Databases on the use and accessibility of dispersion models, control actions, health effects and the magnitudes of their respective costs are also planned.

7.5 World Bank: Urban Air Quality Management Strategy (URBAIR)

The World Bank, through the Metropolitan Environmental Improvement Program (MEIP), started URBAIR in 1992. The first phase of URBAIR covered five cities Mumbai (Bombay), India; Jakarta, Indonesia; Kathmandu, Nepal; Metro Manila, Philippines; and Colombo, Sri Lanka. The URBAIR studies are based on readily available data and reports along with input from workshops and missions conducted in 1993–94 by local consultants and experts from the Norwegian Institute for Air Research (NILU) and the Netherlands' Institute for Environmental Studies (IES). This effort resulted in this action plan for air pollution abatement (World Bank 1998).

URBAIR is an international collaborative effort involving governments, academia, international organisations, NGOs, and the private sector. Its main objective is to assist local institutions in developing action plans which would be an integral part of the air quality management system for metropolitan regions. A technical compendium, the *URBAIR Air Quality Management Strategy and Action Plan Guidebook*, has been designed for urban environmental policy makers (World Bank 1997a). The guidebook details the steps involved in an air quality management system and provides details on air quality modelling, choices of

Fig. 19
The Global Air Quality Partnership.



abatement measures, and how cost-benefit analysis is used to choose appropriate measures. According to the guidebook, the components of an action plan are: *assessment, action, monitoring* and *evaluation*.

Four city-specific URBAIR studies in Mumbai, India; Manila, Philippines; Jakarta, Indonesia; and Kathmandu, Nepal were published, intended to be used by local institutions in conjunction with the guidebook to formulate policy decisions and to begin their own investment strategies (World Bank 1997b, c, d, e). Two papers issued at the same time address issues of clean fuels for Asia (Walsh and Shah 1997) and successful conversion to unleaded gasoline in Thailand.

7.6 World Bank: Clean Air Initiative

The Clean Air Initiative (CAI) has been designed in the context of the Bank's overall urban strategy, which is to work with both national and local governments to develop, among other things, "livable cities [...] ensuring that the poor achieve a healthful and dignified living standard, [...] addressing environmental degradation".

CAI's mission is to advance innovative ways to improve air quality in cities by sharing knowledge and experiences through partnerships in selected regions. CAI partners and participants foster actions to improve air quality in cities. The initiative brings together a range of expertise in urban development, transport, energy reform, environmental management and environmental health (World Bank 2002a). The Initiative is currently active in four regions:

- Asia (World Bank 2002b)
- Latin America (World Bank 2002c)
- Sub-Saharan Africa (World Bank 2002d)
- Europe & Central Asia (World Bank 2002e).

Goals of the CAI program include:

- sharing knowledge and experiences on air quality management
- improving policy and regulatory frameworks at the regional level
- assisting cities in implementing integrated air quality management systems
- capacity building and information-sharing
- promotion of clean technologies.

7.7 UNEP/WHO/SEI/KEI: Air Pollution in the Megacities of Asia

The Air Pollution in the Megacities of Asia (APMA) project is a joint effort by UNEP and WHO in collaboration with the Korea Environment Institute (KEI) and the Stockholm Environment Institute (SEI) to benchmark urban air quality management and practice in major and megacities of Asia. APMA builds upon UNEP/WHO efforts on air pollution in Megacities under the Urban Air Quality Monitoring Program (GEMS/Air), which formed part of the UN Global Environment Monitoring System (GEMS), and the WHO Air Management Information System AMIS. The APMA project focuses on the development of policy to address urban air pollution in Asian megacities. It aims to increase the capacity of governments and city authorities to deal with urban air pollution issues by developing regional action plans and establishing an urban air pollution network for Asian Megacities (UNEP/WHO/SEI/KEI 2002a).

APMA is being funded by the Korea Ministry of the Environment (MOE) and the Swedish International Cooperation Development Agency (Sida) as part of their Regional Air Pollution in Developing Countries (RAPIDC) Program (UNEP/WHO/SEI/KEI 2002a).

United Nations Economic and Social Commission for Asia and the Pacific: Kitakyushu Initiative for a Clean Environment

The city of Kitakyushu is renowned for having successfully overcome severe urban environmental pollution. It also has a cooperative arrangement to assist a number of local authorities in the Asian and Pacific region. The successful experience in improving the environment could be shared and even replicated. The "Kitakyushu Initiative for a Clean Environment" has been developed to contribute, through their experience, to making tangible progress in the environment and development in Asia and the Pacific. The Kitakyushu Initiative attempts to draw lessons from the city's practices and experiences and put them together as a menu of effective action that could be useful in other cities in the region (UN ESCAP 2002).

Stockholm Environment Institute/Swedish International Development Co-operation Agency

A program on Regional Air Pollution in Developing Countries (RAPIDC) has been developed by the Stockholm Environment Institute (SEI) and funded by the Swedish International Development Co-operation Agency (Sida).

The aim of RAPIDC is to facilitate the development of agreements and protocols and methods to implement measures to prevent and control air pollution. Central to the program is the realisation that scientific information needs to be effectively communicated to decision-makers at different levels in society to achieve progress. The program intends to enhance by international co-operation the capacity for developing country experts to investigate the 'shared problem' of air pollution in their regions. RAPIDC is co-ordinated by SEI and carried out in collaboration with Swedish universities and research organisations together with inter-governmental agencies and research organisations in Asia, Latin America and Africa (SEI 2002d).

Urban air quality management in Europe

The objectives of the Framework Directive 96/62/EC on ambient air quality assessment and management (CEC 1996) are to outline a common strategy to:

- establish emission limits to improve ambient air quality
- assess ambient air quality in the EU on the basis of common methods and criteria
- ensure adequate information is made available to the public
- maintain ambient air quality where it is good and improve it in other cases.

The Framework Directive (CEC 1996) consider air quality standards for already regulated atmospheric pollutants (SO₂, NO₂, PM, Pb, and O₃) and for benzene, carbon monoxide, polycyclic-aromatic hydrocarbons, cadmium, arsenic, nickel and mercury. The Framework Directive and its Daughter Directives (CEC 1996; CEC 1999; CEC 2000) include a timetable for the implementation of air quality standards for 12 individual pollutants. The objectives of the daughter directives are to harmonise monitoring strategies, measuring

methods, calibration and quality assessment methods to achieve comparable measurements throughout the EU and good information to the public. Table 4.4 in Annex 3 presents the limit values for different pollutants covered by the Framework and daughter directives.

The European Union (EU), in its programme for Clean Air for Europe (CAFÉ) has developed a thematic strategy for improving air quality in Europe. This strategy is based on four elements (EC 2001):

1. Developing emission limits for ambient air quality;
2. Combating the effects of transboundary air pollution;
3. Identifying cost-effective reductions in targeted areas through integrated programmes;
4. Introducing specific measures to limit emissions.

The main elements of the Programme are:

- Review the implementation of air quality directives and effectiveness of air quality programmes in the Member States.
- Improve the monitoring of air quality and the provision of information to the public, including the use of indicators; priorities for further action, the review and updating of air quality standards and national emission ceilings and the development of better systems for gathering information, modelling and forecasting.

GTZ approach to air quality management

For more than 15 years GTZ has provided advisory services for air quality management projects in more than 20 countries. The GTZ's approach to Air Quality Management (AQM) is based on a comprehensive set of integrated services which include:

- **Improving institutional and legal frameworks** through political consensus-building, institutional and legal reforms, and promoting cooperation between major actors (governmental and non-governmental).
- **Introducing and monitoring emission and fuel quality standards**, including vehicle inspection & maintenance.
- **Strengthening the capacities** (qualification, know how) of all relevant actors in AQM, including ministries, regulatory authorities, NGOs, the media, associations and research institutions.

- **Improving air quality information** through monitoring units, air quality information systems, dispersion & trend modeling, etc.
- **Promoting the integration of AQM in urban planning and transport planning** through supporting the elaboration and implementation of long-term AQM strategies and sustainable urban development strategies.
- **Improving social communication and public participation** to create ownership by the public and the media, and empower people to support AQM strategies.
- **Promoting international cooperation** through networking, shared experience, and joint international initiatives such as the World Bank's Clean Air Initiatives, where GTZ has been member of the steering committee in Latin America and plays an active role in CAI Asia.

At present there are more than 30 ongoing projects covering a wide range of air pollution issues, including:

- Integrated AQM strategies for megacities, such as Mexico City (see GTZ 2002), Santiago de Chile (see Box 8), Kuala Lumpur, and other cities. These projects cover a wide range of the above AQM service modules.
- Legal reforms for EU member candidates ("Twinning"), such as Poland, Hungary, etc. Twinning projects aim at harmonizing the member candidates' legal systems with the Acquis Communautaire of the EU.
- Clean air strategies and environmental action planning, e.g. in Macedonia, Brasil, etc. Clean air measures are part of many local and national environmental strategies that also cover other environmental issues such as water, waste, sanitation, etc.
- Sustainable urban development in "eco-cities", e.g. in Surabaya/Indonesia, Yangzhou, Changzhou/China, etc. The eco-city approach aims at a comprehensive set of urban policy and planning measures to support a sustainable urban development (thereby including many strategies as represented in this *Sourcebook*).
- Other approaches with components of AQM include a large number of projects for eco-Industrial development, eco-efficient production and eco-efficient energy, cleaner coal and household energy.

For more information and contact details please see <http://www.gtz.de>.

8. Conclusions

Given the economic consequences of air pollution, such as increased expenses of health services and damaged ecosystems that are necessary for the economy, and reduced productivity from workers with pollution-related illnesses, it is clear that there are benefits to the timely redress of pollution problems. Although control mechanisms may be very costly initially, in the end the costs will be recovered. For example, when the United States shifted from the use of leaded fuel to unleaded fuel, it saved US\$10 for every \$1 invested in the process of conversion due to lower health costs, reduced need for engine maintenance, and increased fuel efficiency (WRI/UNEP/UNDP/WB 1998). The same is true for switching to cleaner forms of energy, which will diminish dangerous fossil fuel emissions. Solar energy, for example, is expensive upon installation, but the cost of maintaining solar panels is very low. In the long run, the money that is saved from reduced fossil fuel consumption is greater than the money that was spent to install the solar panels.

Reductions in fossil fuel consumption, and hence reduction in atmospheric pollution, can come from a number of areas. To begin, fuel prices should reflect the actual costs of fuel consumption on society. Currently fuel prices are far too cheap, which encourages over-consumption of a non-renewable resource.

Most fossil fuel combustion takes place in the transportation sector. Therefore, governments need to place restrictions on the use of vehicles while improving the efficiency and availability of public transportation and non-motorised alternatives. This method has worked extremely well in Singapore, where air pollution levels have been below WHO guidelines since 1986. The well-regulated pollution situation can be attributed to early recognition of the problem and efficient and informed policy and management practices (Roychoudhury *et al.*, 2000).

The Singapore government sought to address the pollution problem from its source: excessive vehicle use. Therefore, it placed severe economic restrictions on the ownership and use of automobiles, making it far too expensive for the average person to use private transportation.

For now, however, fossil fuel use is such an integral part of life that it is necessary to control the rate of emission and the toxic composition of emissions either before, during, or after combustion. Prior to combustion it is possible to control toxic emissions through the use of low-sulphur or sulphur-free fuels (including natural gas), fuel cleaning, and unleaded petroleum. During combustion, pollutants should be controlled by using low NO_x burners, or fluidised bed combustion which is known to reduce both NO_x and SO₂ emissions. Post-combustion, catalysts should be used in power stations and vehicles to reduce NO_x. Scrubbers should also be used to remove the pollutants from gaseous emissions after the burning of fossil fuels.

When nearly every nation in the world has problems with air pollution, the problem can be termed a global issue. To redress the global nature of the problem, each nation has to make efforts to control their own pollution. At the national level, information about the environment, health, economics, and law should be reviewed in order to develop policy that is practical for local governments. Meanwhile, health clinics should be provided with information about air pollution-related illnesses to treat those who are already affected by pollution and to educate the community about the adverse health effects of air pollution and the best ways to avoid exposure (UNEP/UNICEF 1997).

Both health and environmental problems are multi-causal. To successfully solve these types of problems, national governments need to promote the coordination of activities and information between the ministries or departments that deal with each aspect of these problems. More collaboration is also needed between government agencies and non-governmental or community-based organisations that often have more grassroots experience and are thus more familiar with real-life conditions (UNEP/UNICEF, 1997).

Locally, monitoring health conditions will provide information about the severity of air pollution and provide input into deciding what needs to be done to address these problems. Moreover, local governments need to provide the knowledge for people to protect themselves from air pollution.

Environmental issues cannot be addressed in isolation; protection of the environment must be undertaken while keeping in mind a number of social and economic factors, including health and economic policy. Collaboration between stakeholders and government ministries is necessary for successful control of air pollution.

Air pollution control also cannot be achieved by ordering industries and vehicle owners to change their means of production or ways of life. Incentives must be provided in order to persuade compliance with air pollution regulations. In the case of industries, incentives can come in the form of tradeable permits and other market-oriented policies. People, on the other hand, can be convinced to protect the environment if they become aware of or feel the effects of health problems that accompany air pollution.

Both developed and developing countries need to adopt environmentally friendly technologies, change patterns of consumption and develop alternative renewable fuel sources. In many cases, including in the urban transport sector, developing countries can learn from the successes and failures of the developed world.

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Selected abbreviations used in this module

CAIP	Clean Air Implementation Plan
CO	carbon monoxide
ETS	environmental tobacco smoke
HC	hydrocarbons
NO _x	oxides of nitrogen
NO ₂	nitrogen dioxide
O ₃	ozone
PAH	polycyclic aromatic hydrocarbons
Pb	lead
PM	particulate matter
PM ₁₀	particles less than 10 microns in diameter (1 micron = 0.001 millimetres)
PM _{2.5}	particles less than 2.5 microns in diameter
QA/QC	quality assurance and control
SPM	suspended particulate matter
SO ₂	sulphur dioxide
TSP	total suspended particulates
UBA	Umweltbundesamt, German Federal Environmental Agency
UNEP	United Nations Environment Programme
US EPA	United States Environmental Protection Agency
WHO	World Health Organization
WSSD	World Summit on Sustainable Development



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